

Recursive Backtracking and Enumeration

What is an example of a game that would be easy to play if you had the ability to quickly think of all possible moves/plays?
(pollev.com/cs106bpoll)



What is an example of a game that would be easy to play if you had the ability to quickly think of all possible moves/plays?



Roadmap

C++ basics

User/client

vectors + grids

stacks + queues

sets + maps

Core
Tools

testing

algorithmic
analysis

recursive
problem-solving

Object-Oriented
Programming

Implementation

arrays

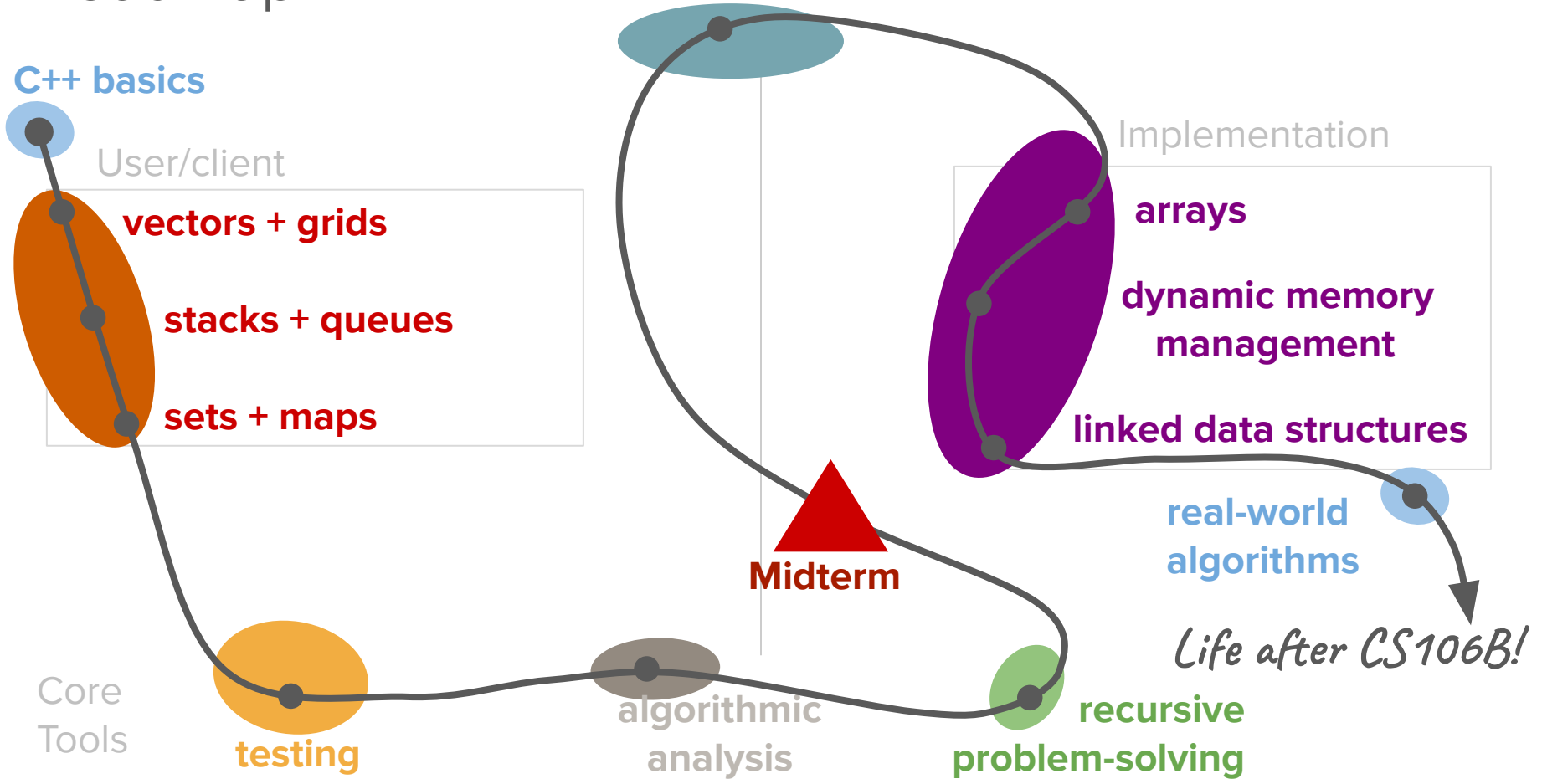
dynamic memory
management

linked data structures

real-world
algorithms

Life after CS106B!

Midterm



Today's question

How can we leverage
backtracking recursion to
solve interesting
problems?

Today's topics

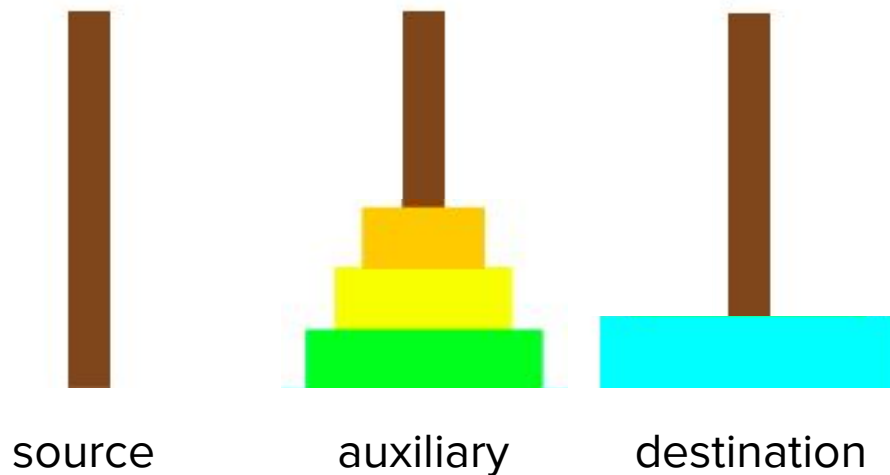
1. Review
2. Word Scramble
3. Shrinkable Words
4. Generating Subsets

Previously on CS106B...

Towers of Hanoi with n disks

- We want to first move the biggest disk over to the destination peg.
- Now we need to move the stack of three from auxiliary to destination.

*Use our
existing 3-disk
algorithm!*



Elegance (Towers of Hanoi)



source



auxiliary



destination

```
void findSolution(int n, char source, char dest,
char aux) {
    if (n == 1) {
        moveSingleDisk(source, dest);
    } else {
        findSolution(n - 1, source, aux, dest);
        moveSingleDisk(source, dest);
        findSolution(n - 1, aux, dest, source);
    }
}
```

```
void findSolutionIterative(int n, char source, char dest, char aux) {
    int numMoves = pow(2, n) - 1; // total number of moves necessary

    // if number of disks is even, swap dest and aux posts
    if (n % 2 == 0) {
        char temp = dest;
        dest = aux;
        aux = temp;
    }

    Stack<int> srcStack;
    for (int i = n; i > 0; i--) {
        srcStack.push(i);
    }
    cout << srcStack << endl;
    Stack<int> destStack;
    Stack<int> auxStack;

    // Determine next move based on how many moves have been made so far
    for (int i = 1; i <= numMoves; i++) {
        switch (i % 3) {
            case 1:
                if (srcStack.isEmpty() ||
                    (!destStack.isEmpty() && srcStack.peek() > destStack.peek())) {
                    srcStack.push(destStack.pop());
                    moveSingleDisk(dest, source);
                } else {
                    destStack.push(srcStack.pop());
                    moveSingleDisk(source, dest);
                }
                break;
            case 2:
                if (srcStack.isEmpty() ||
                    (!auxStack.isEmpty() && srcStack.peek() > auxStack.peek())) {
                    srcStack.push(auxStack.pop());
                    moveSingleDisk(aux, source);
                } else {
                    auxStack.push(srcStack.pop());
                    moveSingleDisk(source, aux);
                }
                break;
            case 0:
                if (destStack.isEmpty() ||
                    (!auxStack.isEmpty() && destStack.peek() > auxStack.peek())) {
                    destStack.push(auxStack.pop());
                    moveSingleDisk(aux, dest);
                } else {
                    auxStack.push(destStack.pop());
                    moveSingleDisk(dest, aux);
                }
                break;
        }
    }
}
```


Elegance



Allows us to write clean and concise code

Finding a number in a sorted list

-1	2	5	18	37	59	77	82	89	101
0	1	2	3	4	5	6	7	8	9

Finding a number in a sorted list with **BINARY SEARCH**

-1	2	5	18	37	59	77	82	89	101
0	1	2	3	4	5	6	7	8	9

- Leverage the structure in sorted data to **eliminate half of the search space every time** when searching for an element
 - Only do a direct comparison with the middle element in the list
 - Recursively search the left half if the element is less than the middle
 - Recursively search the right half if the element is greater than the middle

Finding a number in a sorted list with **BINARY SEARCH**

-1	2	5	18	37	59	77	82	89	101
0	1	2	3	4	5	6	7	8	9

- Binary search has logarithmic Big-O: $O(\log N)$
 - Enables efficient performance of sets and maps

Efficiency



Allows us to accomplish better runtimes when solving problems.

Generating coin sequences



- Let's say that you're playing a game that involves flipping a coin a certain number of times in a row. Your success in the game depends on the exact sequence of "heads" and "tails" that you get.
- In a different version of the game, you instead get three flips of the coin on your turn. What are all the possible ways that your turn could go?

HHH HHT HTH HTT THH THT TTH TTT

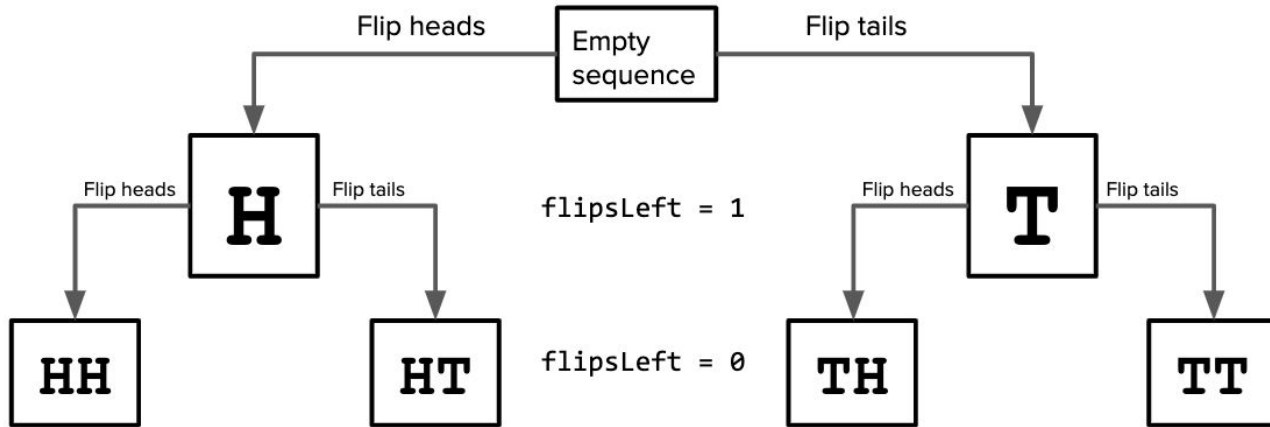
How do we know that we got all the possibilities? How do we avoid repeats?

Takeaways: recursive backtracking + decision trees

- Unlike our previous recursion paradigm in which a solution gets built up as recursive calls return, in backtracking our final outputs occur at our base cases (leaves) and get built up as we go down the decision tree.

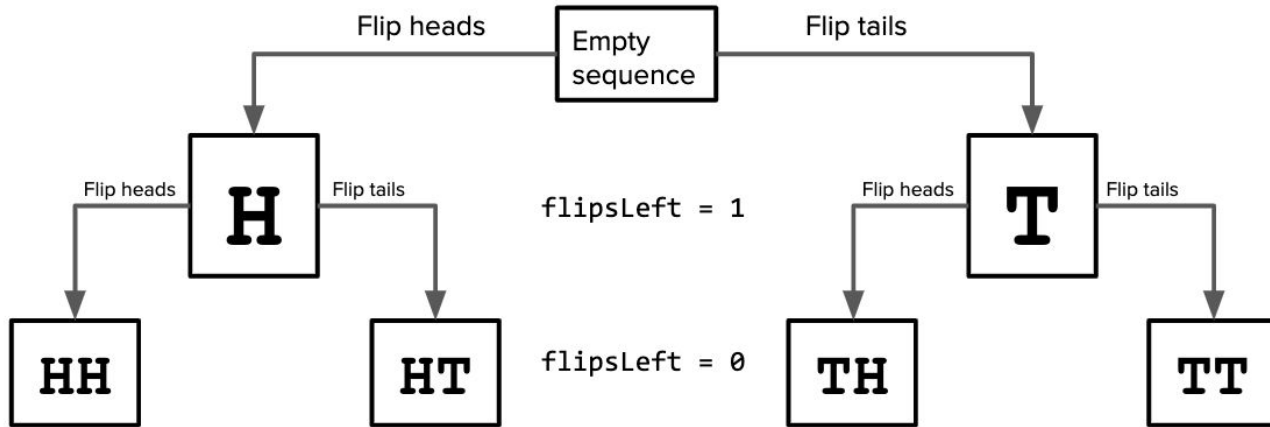
Dynamic (Coin Sequences + Decision Trees)

- The **height** of the tree corresponds to the **number of decisions** we have to make. The **width** at each decision point corresponds to the **number of options at each decision**.



Dynamic (Coin Sequences + Decision Trees)

- The **height** of the tree corresponds to the **number of decisions** we have to make. The **width** at each decision point corresponds to the **number of options at each decision**.
- To exhaustively explore the entire search space, we must **try every possible option for every possible decision**.



Dynamic



Allows us to solve problems that are hard to solve iteratively

Summary

Two types of recursion

- Is this word a palindrome?
 - How many students are in this row?
 - How can I draw a n th-order Cantor set?
 - What is n factorial?
 - Solve Towers of Hanoi for 5 disks?
 - Find a number in a list using binary search?
- What are all the possible sequences for coin flips if you flip n times?
 - What are all the possible ways to roll a die n times?
 - What are all the possible ways to rearrange the letters in the word “saki”?
 - What are all the possible permutations (ways to rearrange) for the words in “E Pluribus Unum”?
 - What are all the possible subsets of Trip, Kylie, and Jenny?

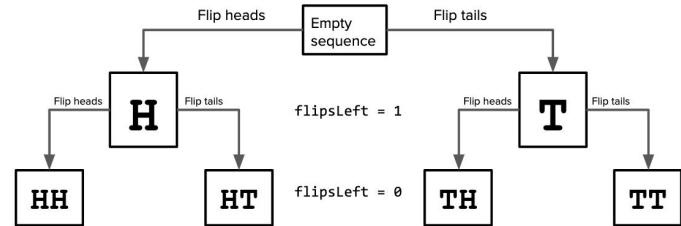
Two types of recursion

Basic Recursion

```
int main() {  
  int factorial (int n) {  
    int factorial (int n) {  
      int factorial (int n) {  
        int factorial (int n) {  
          if (n == 0) {  
            return 1;  
          } else {  
            return n * factorial(n-1);  
          }  
        }  
      }  
    }  
  }  
}
```

- Is this word a palindrome?
- How many students are there in this row?
- How can I draw a nth-order Cantor set?
- What is 100! Factorial?
- Solve Towers of Hanoi for 5 disks?
- Find a number in a list using binary search?

Backtracking recursion



- What are all the possible sequences for coin flips if you flip n times?
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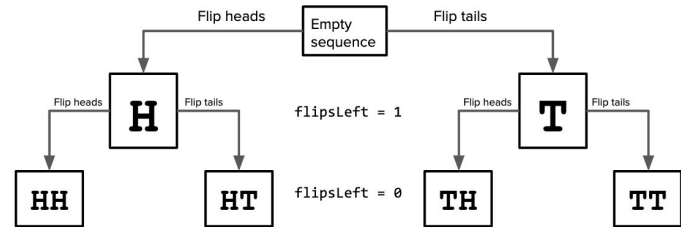
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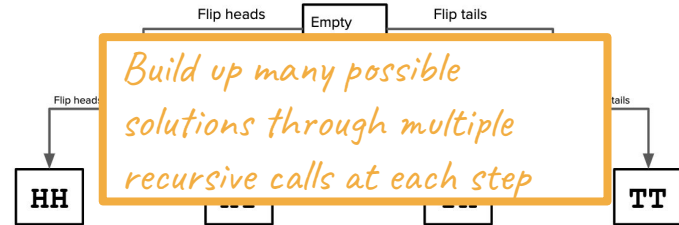
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```
int main() {  
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```

One repeated task that builds up a solution as you come back up the call stack

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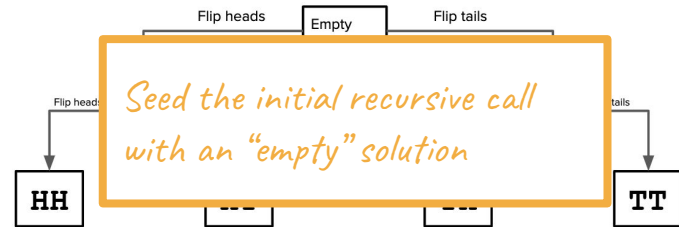
Basic Recursion

```
int main() {
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The final base case defines the initial seed of the solution and each call contributes a little bit to the solution

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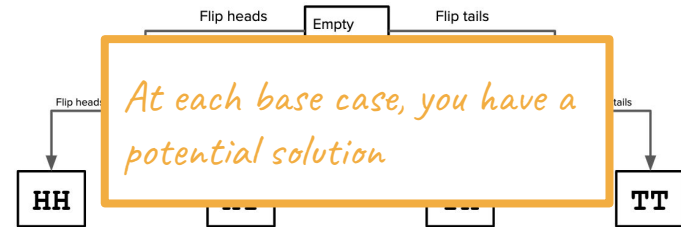
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```
int main() {
```

Initial call to recursive function produces final solution

- Is this word a palindrome?
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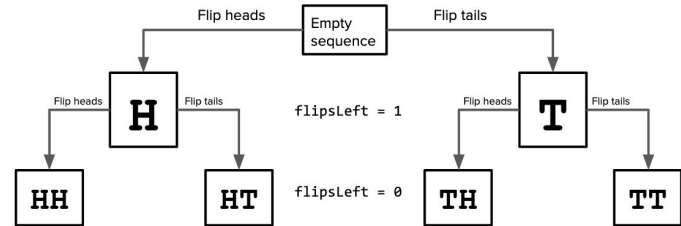
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Two types of recursion

Basic recursion

- One repeated task that builds up a solution as you come back up the call stack
- The final base case defines the initial seed of the solution and each call contributes a little bit to the solution
- Initial call to recursive function produces final solution

Backtracking recursion

- Build up many possible solutions through multiple recursive calls at each step
 - Seed the initial recursive call with an “empty” solution
 - At each base case, you have a potential solution
- (also called recursive exploration, or recursive depth-first search)

How can we leverage
backtracking recursion to solve
interesting problems?

Using backtracking recursion

- There are 3 main categories of problems that we can solve by using backtracking recursion:
 - We can generate all possible solutions to a problem or count the total number of possible solutions to a problem
 - We can find one specific solution to a problem or prove that one exists
 - We can find the best possible solution to a given problem

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- There are many, many examples of specific problems that we can solve, including
 - Generating permutations
 - Generating subsets
 - Generating combinations
 - And many, many more

Using backtracking recursion

- There are 3 main categories of problems that we can solve by using backtracking recursion:
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 - **Generating permutations**
 - **Generating subsets**
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 - And many, many more

Word Scramble

Jumble

- Since 1954, the JUMBLE word puzzle has been a staple in newspapers.
- The basic idea is to unscramble the provided letters to make the words on the left, and then use the letters in the circles as another set of letters to unscramble to answer the pun in the comic.

JUMBLE

Unscramble these four Jumbles, one letter to each square, to form four ordinary words.

KNIDY
○ ○ □ □ □ □

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LEGIA
○ □ ○ □ □ □

CRONEE
□ ○ □ ○ □ □

TUVEDO
○ □ □ □ □ ○

THAT SCRAMBLED WORD GAME by David L. Hoyt and Jeff Knurek



Check out the new, free JUST JUMBLE app

Now arrange the circled letters to form the surprise answer, as suggested by the above cartoon.

Print answer here: ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

(Answers tomorrow)

Saturday's | Jumbles: ELUDE JOINT AGENCY EASILY
Answer: The cyclops' son wanted an action figure for his birthday, so they bought him a — G- "EYE" JOE

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JUMBLE THAT SCRAMBLED WORD GAME
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DINKY
LEGIA
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ENCORE
TUVEDO
DEVOUT

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Print answer here: ○○○○○○○○○

Saturday's Jumbles: EL
Answer: The cyclops son wanted an action figure for his birthday, so they bought him a — G- "EYE" JOE

D I A I N O D T

Jumble

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THAT SCRAMBLED WORD GAME
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Now arrange the circled letters to form the surprise answer, as suggested by the above cartoon.

Print answer here: ADDITION

DIAINODT

Saturday's

Jumbles: EL

Answer: The cyclops son wanted an action figure for his birthday, so they bought him a — G- "EYE" JOE

Jumble

- For some people solving puzzles like this comes pretty easily, but this is actually a pretty challenging problem!
 - For a 6-letter word, there are $6! = 720$ possible arrangements of the letters
- Can we write a program to print out all the combinations to help us solve this puzzle?

JUMBLE

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Print answer here: ADDITION

DIANODT

Saturday's | Jumbles: EL
Answer: The cyclops son wanted an action figure for his birthday, so they bought him a — G- "EYE" JOE

We've already seen sequences...

- Yesterday we looked at sequences composed of 2 fixed options (heads or tails), where the length was independent of the available choices.
- Now we have different constraints on our sequence:
 - Rather than having 2 fixed options (heads and tails), we have many possible options (letters).
 - An option goes away as a choice once it's been selected (each letter only used once).
 - Length is dependent on initial # of choices.



Permutations

Permutations

- A **permutation** of a sequence is a sequence with the same elements, though possibly in a different order.

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Permutations

- A **permutation** of a sequence is a sequence with the same elements, though possibly in a different order.
- For example, permutations of the words in the motto "E Pluribus Unum" would be:
 - E Pluribus Unum
 - E Unum Pluribus
 - Pluribus E Unum
 - Pluribus Unum E
 - Unum E Pluribus
 - Unum Pluribus E



Common question from students

- Can you solve all backtracking recursion problems with equivalent iterative solutions?
- Answer:

Common question from students

- Can you solve all backtracking recursion problems with equivalent iterative solutions?
- Answer:

```
void permute4(string s) {
    for (int i = 0; i < 4; i++) {
        for (int j = 0; j < 4; j++) {
            if (j == i) {
                continue; // ignore
            }
            for (int k = 0; k < 4; k++) {
                if (k == j || k == i) {
                    continue; // ignore
                }
                for (int w = 0; w < 4; w++) {
                    if (w == k || w == j || w == i) {
                        continue; // ignore
                    }
                    cout << s[i] << s[j] << s[k] << s[w] << endl;
                }
            }
        }
    }
}
```

Common question from students

- Can you solve a problem with a single-valent iterative solutions?
- Answer:

```
void permute5(string s) {  
    for (int i = 0; i < 5; i++) {  
        for (int j = 0; j < 5; j++) {  
            if (j == i) {  
                continue; // ignore  
            }  
            for (int k = 0; k < 5; k++) {  
                if (k == j || k == i) {  
                    continue; // ignore  
                }  
                for (int w = 0; w < 5; w++) {  
                    if (w == k || w == j || w == i) {  
                        continue; // ignore  
                    }  
                    for (int x = 0; x < 5; x++) {  
                        if (x == k || x == j || x == i || x == w) {  
                            continue;  
                        }  
                        cout << " " << s[i] << s[j] << s[k] << s[w] << s[x] << endl;  
                    }  
                }  
            }  
        }  
    }  
}
```

valent iterative

Common

- Can you see any other solutions?
- Answer:

```
void permute6(string s) {
    for (int i = 0; i < 5; i++) {
        for (int j = 0; j < 5; j++) {
            if (j == i) {
                continue; // ignore
            }
            for (int k = 0; k < 5; k++) {
                if (k == j || k == i) {
                    continue; // ignore
                }
                for (int w = 0; w < 5; w++) {
                    if (w == k || w == j || w == i) {
                        continue; // ignore
                    }
                    for (int x = 0; x < 5; x++) {
                        if (x == k || x == j || x == i || x == w) {
                            continue;
                        }
                        for (int y = 0; y < 6; y++) {
                            if (y == k || y == j || y == i || y == w || y == x) {
                                continue;
                            }
                            cout << " " << s[i] << s[j] << s[k] << s[w] << s[x] << s[y] << endl;
                        }
                    }
                }
            }
        }
    }
}
```

not iterative

Common

- Can you solve these problems?
- Answer:

NO



it iterative

Permutations Intuition

What are all the permutations of the string "saki"?

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
- "sika"
- "siak"
- "aski"
- "asik"
- "aksi"
- "akis"
- "aisk"
- "aiks"
- "ksai"
- "ksia"
- "kasi"
- "kais"
- "kias"
- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "lask"
- "iska"
- "isak"

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
- "sika"
- "siak"
- "aski"
- "asik"
- "aksi"
- "akis"
- "aisk"
- "aiks"

*A quarter of the
permutations start with "s",
followed by all the
permutations of "aki"*

- "ksai"
- "ksia"
- "kasi"
- "kais"
- "kias"
- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "lask"
- "iska"
- "isak"

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
- "sika"
- "siak"
- **"aski"**
- **"asik"**
- **"aksi"**
- **"akis"**
- **"aisk"**
- **"aiks"**

*A quarter of the
permutations start with "a",
followed by all the
permutations of "ski"*

- "ksai"
- "ksia"
- "kasi"
- "kais"
- "kias"
- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "lask"
- "iska"
- "isak"

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
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- "akis"
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- "ksia"
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- "kais"
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- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "lask"
- "iska"
- "isak"

A quarter of the permutations start with "k", followed by all the permutations of "sai"

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
- "sika"
- "siak"
- "aski"
- "asik"
- "aksi"
- "akis"
- "aisk"
- "aiks"
- "ksai"
- "ksia"
- "kasi"
- "kais"
- "kias"
- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "iask"
- "iska"
- "isak"

A quarter of the permutations start with "i", followed by all the permutations of "sak"

Permutations Intuition

What are all the permutations of the string "saki"?

- "saki"
- "saik"
- "skai"
- "skia"
- "sika"
- "siak"
- "aski"
- "asik"
- "aksi"
- "akis"
- "aisk"
- "aiks"

*Can we formalize
this intuition in a
decision tree?*

- "ksai"
- "ksia"
- "kasi"
- "kais"
- "kias"
- "kisa"
- "ikas"
- "iksa"
- "iaks"
- "iask"
- "iska"
- "isak"

What defines our permutations decision tree?

What defines our permutations decision tree?

- **Decision** at each step (each level of the tree):
 - What is the next letter that is going to get added to the permutation?

What defines our permutations decision tree?

- **Choose: decision** at each step (each level of the tree):
 - What is the next letter that is going to get added to the permutation?
- **Explore: options** at each decision (branches from each node):
 - One option for every remaining element that hasn't been selected yet
 - **Note: The number of options will be different at each level of the tree!**

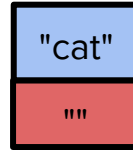
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 - **Note: The number of options will be different at each level of the tree!**
- Information we need to store along the way:
 - The permutation you've built so far
 - The remaining elements in the original sequence

Decisions yet to be made

Decisions made so far

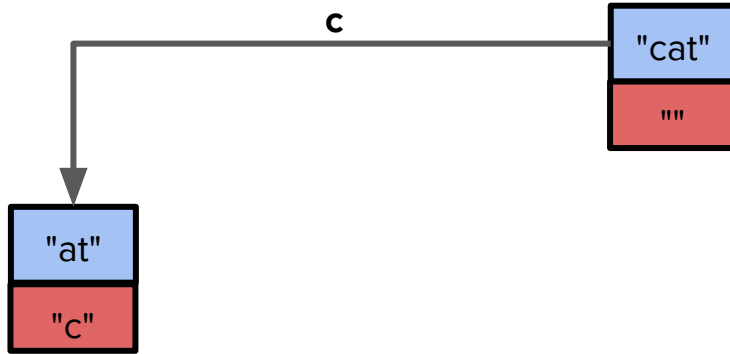
Decision tree: Find all permutations of "cat"



Decisions yet to be made

Decisions made so far

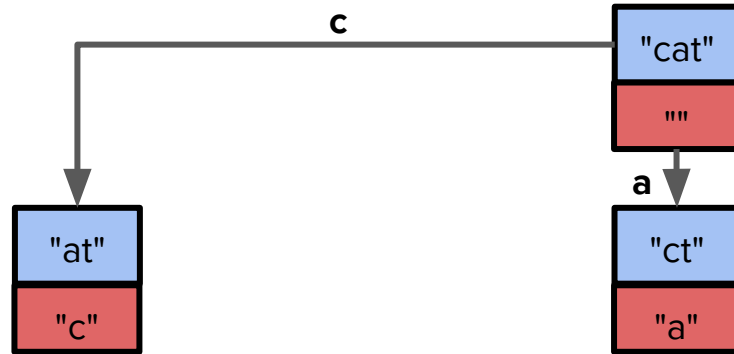
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Decisions yet to be made

Decisions made so far

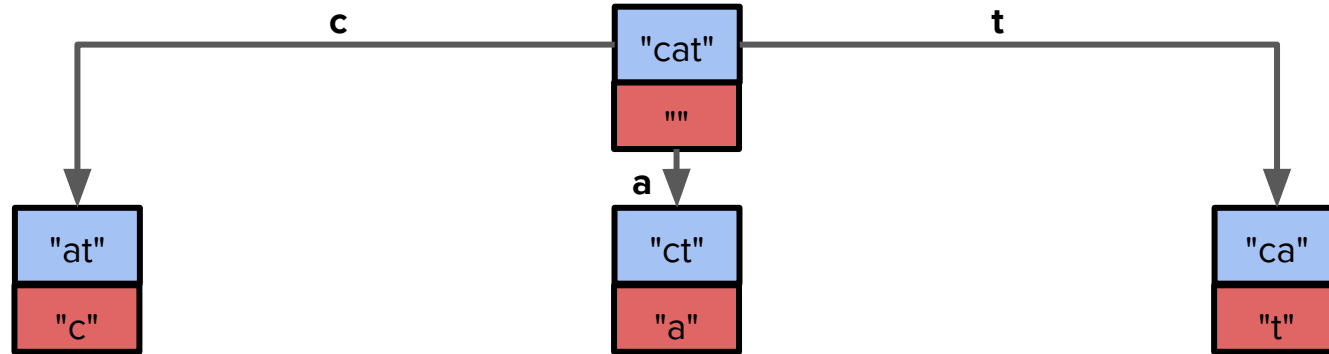
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Decisions yet to be made

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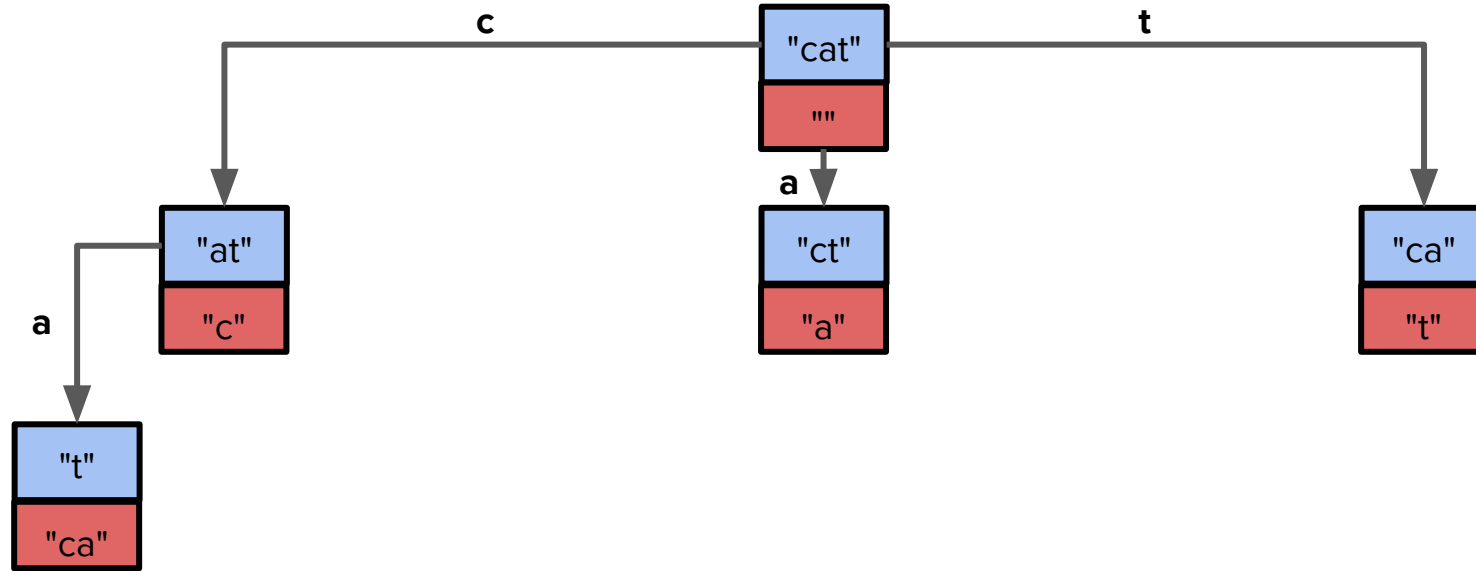
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Decisions made so far

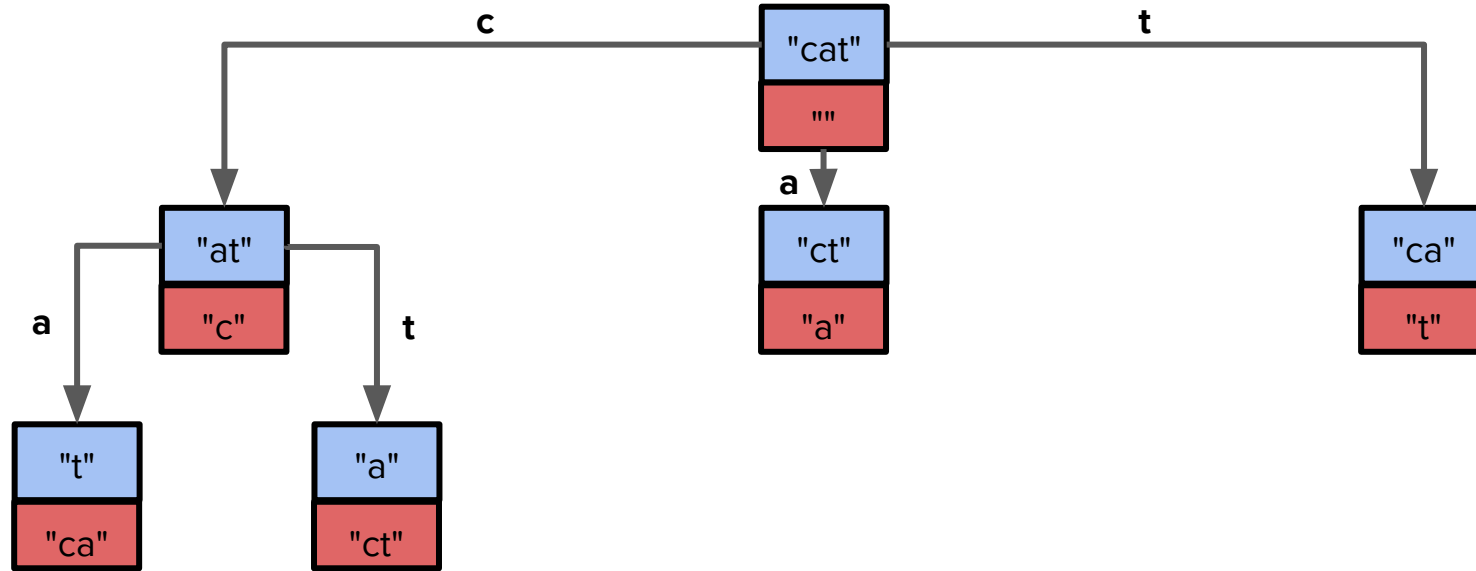
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Decisions yet to be made

Decisions made so far

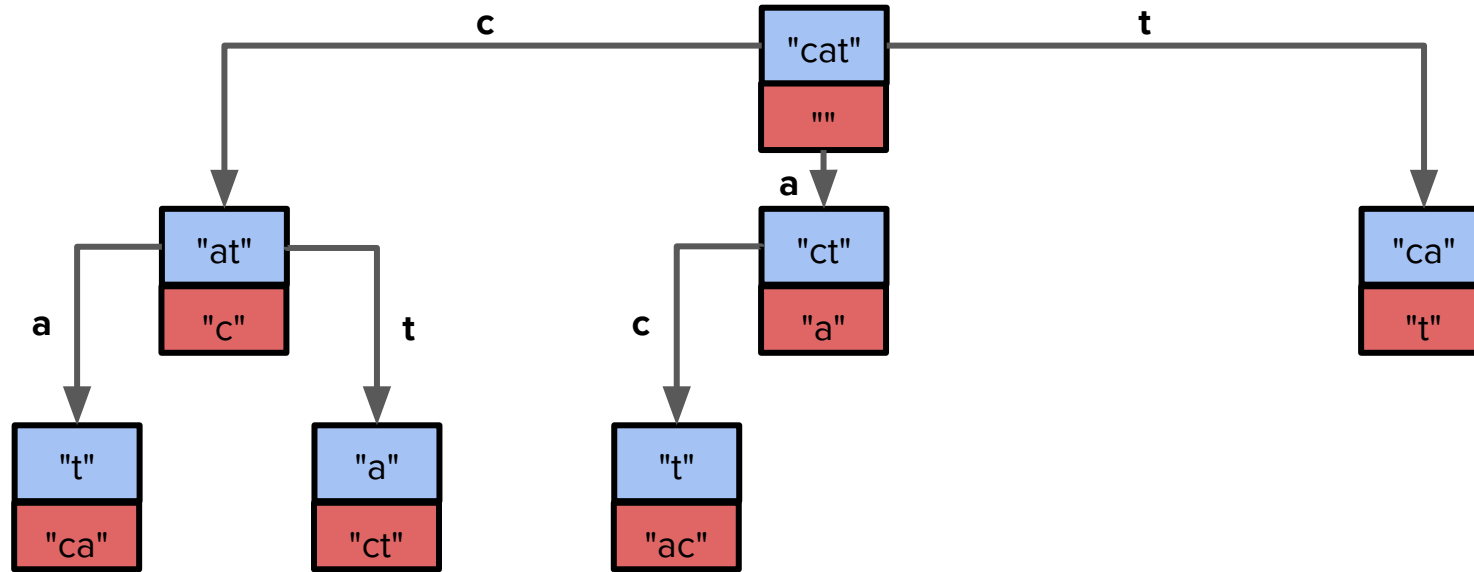
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Decisions yet to be made

Decisions made so far

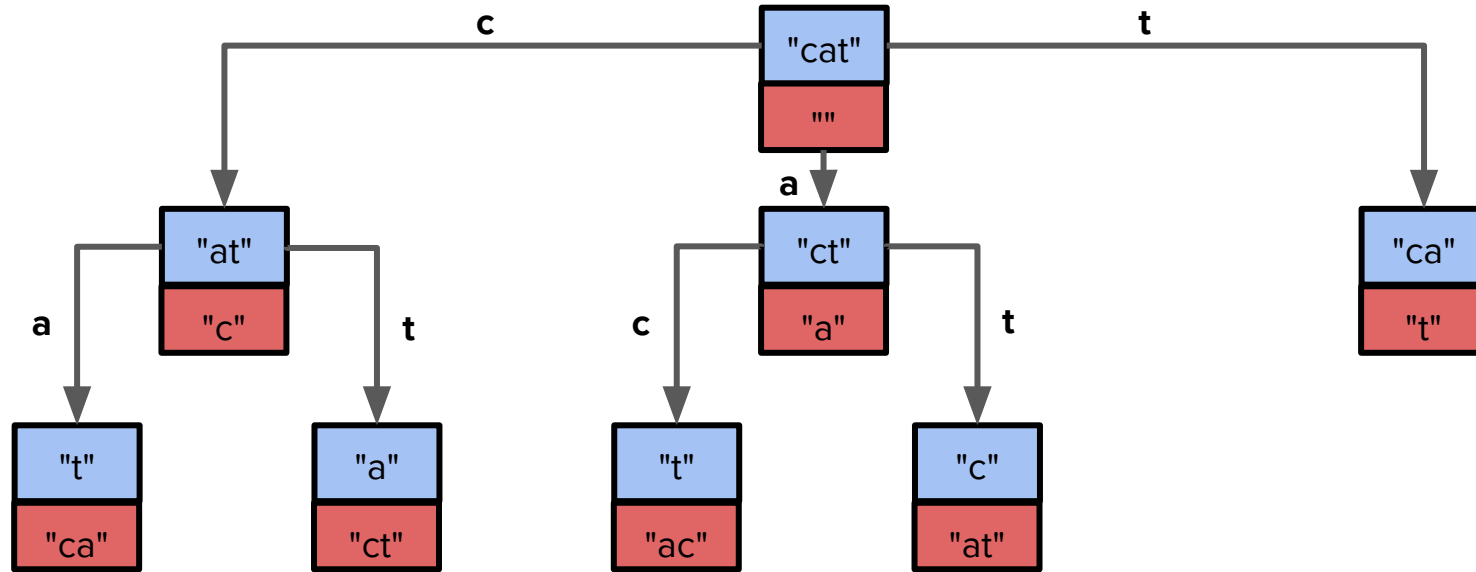
Decision tree: Find all permutations of "cat"



Decisions yet to be made

Decisions made so far

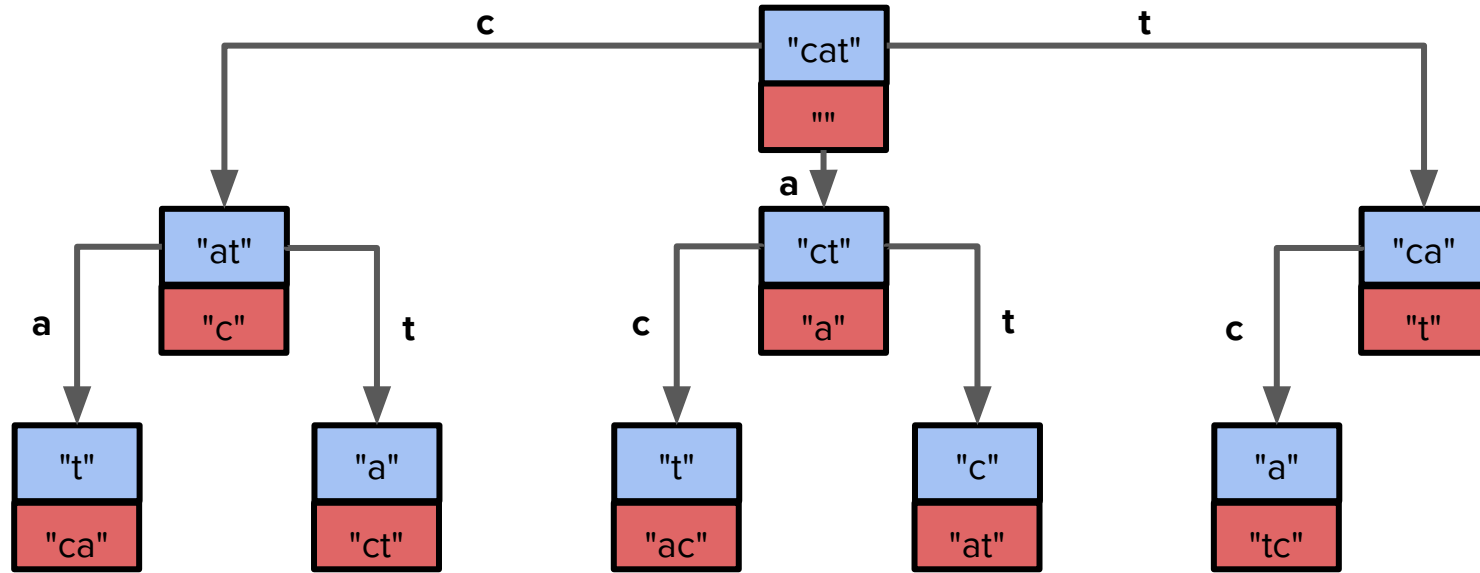
Decision tree: Find all permutations of "cat"



Decisions yet to be made

Decisions made so far

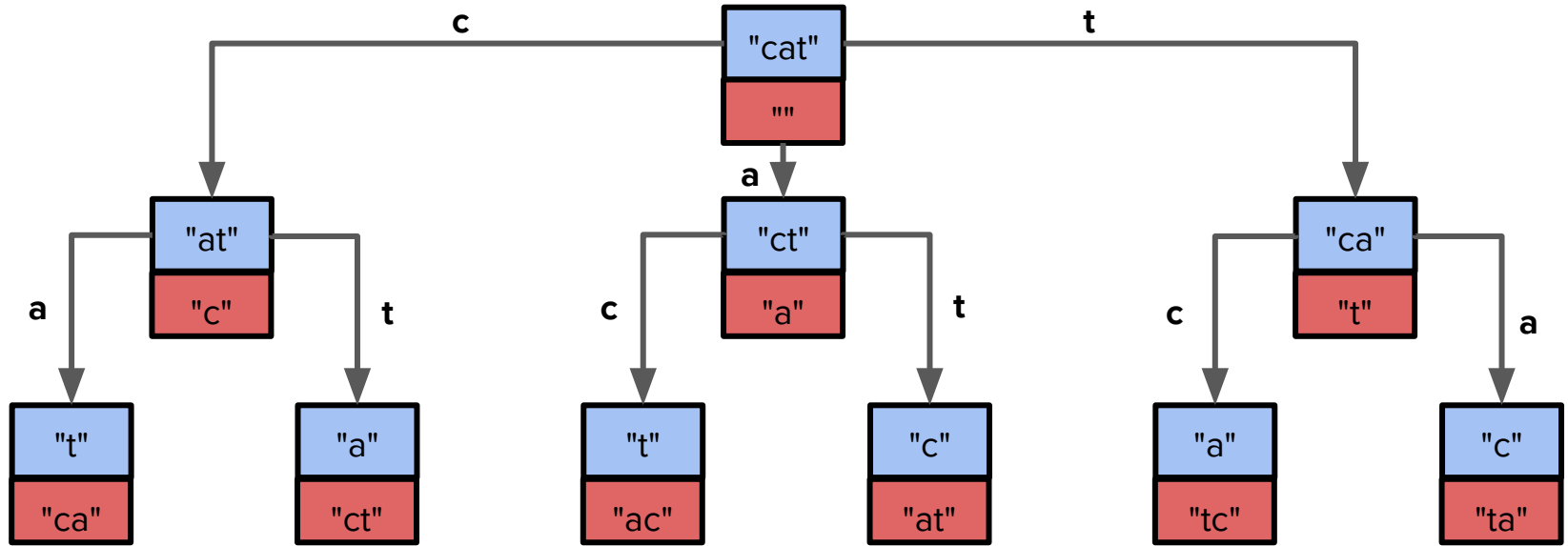
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Decisions yet to be made

Decisions made so far

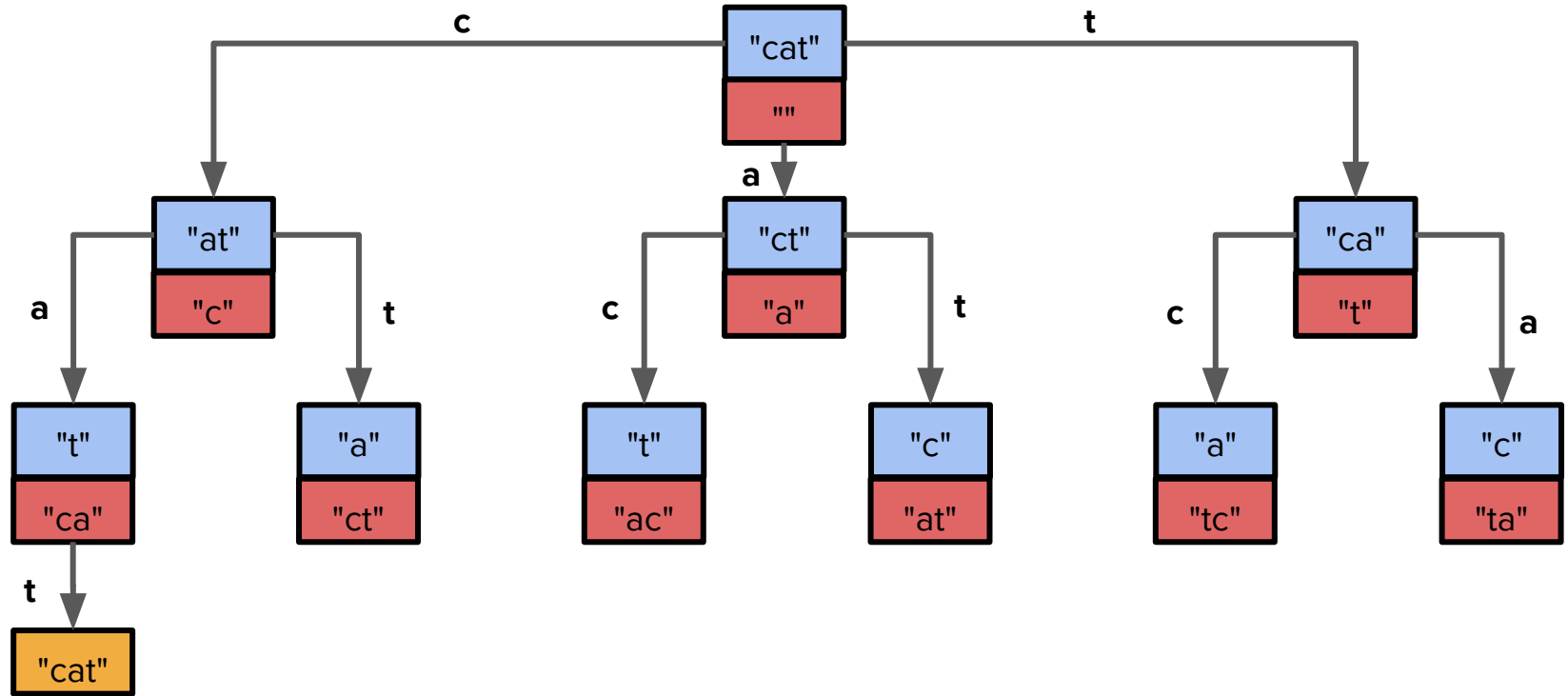
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Decisions yet to be made

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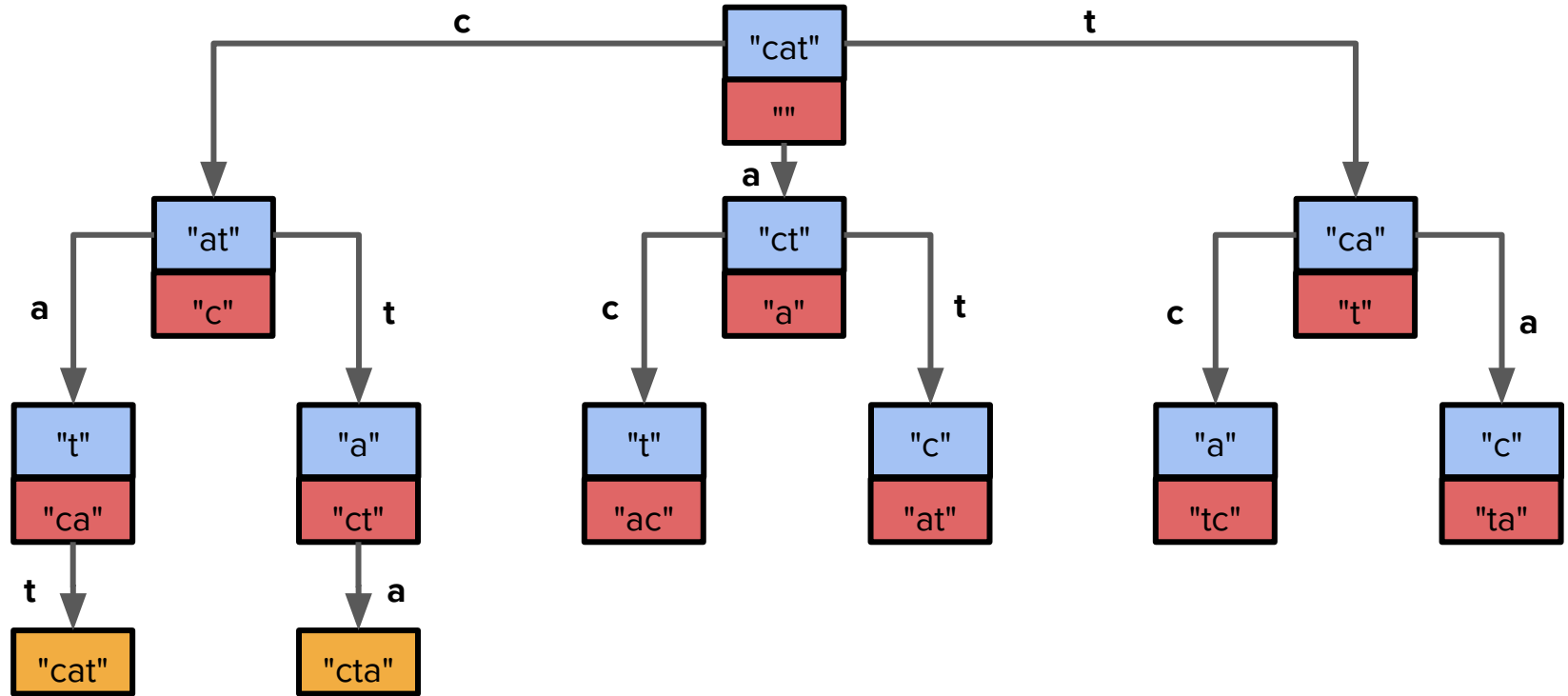
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Decisions yet to be made

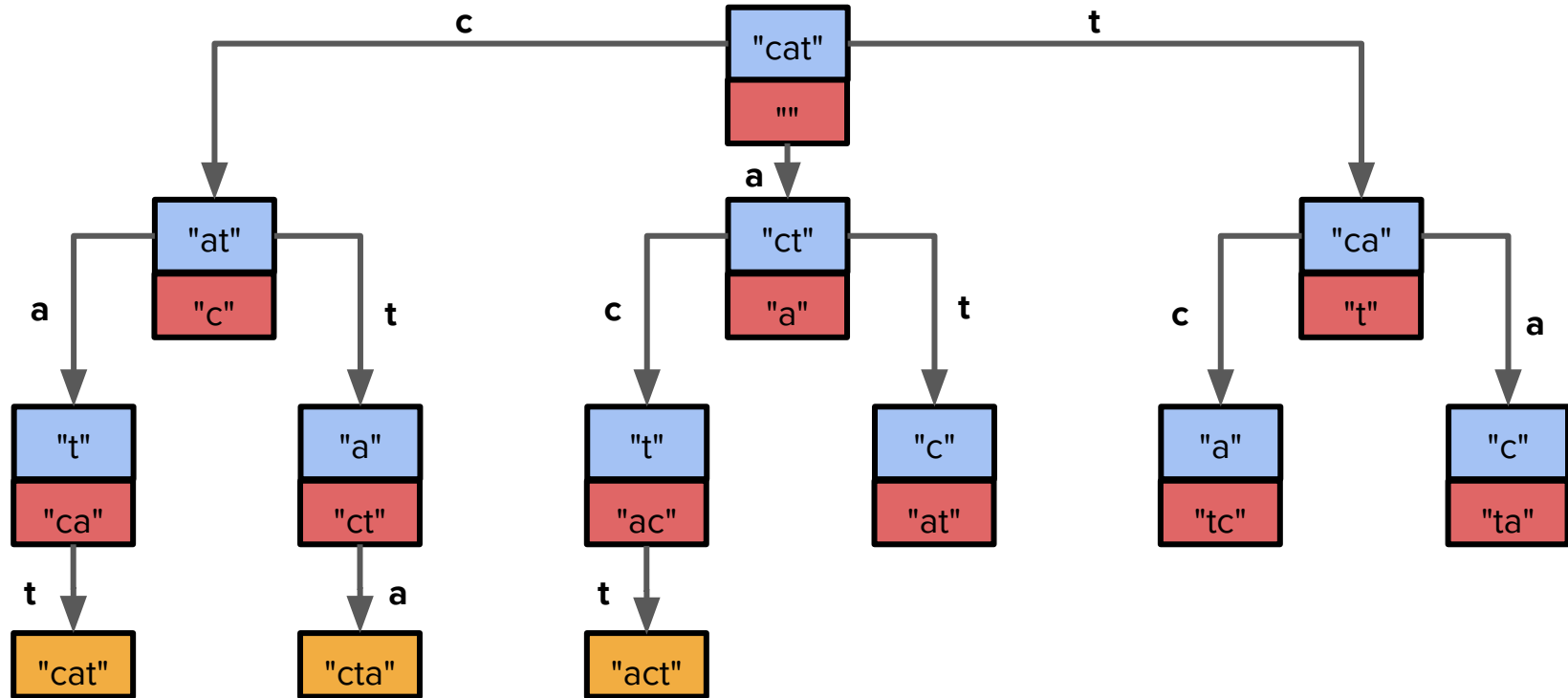
Decisions made so far

Decision tree: Find all permutations of "cat"



Decisions yet to be made
Decisions made so far

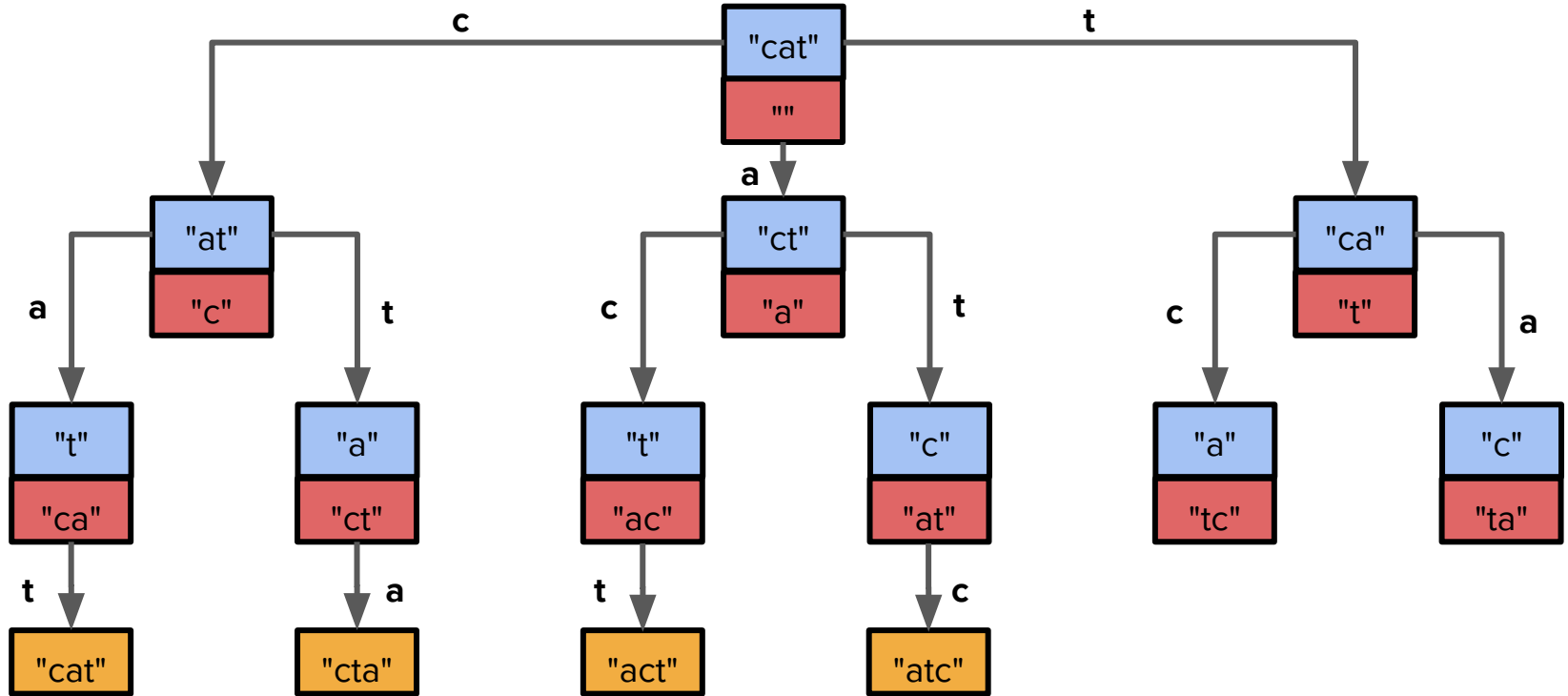
Decision tree: Find all permutations of "cat"



Decisions yet to be made

Decisions made so far

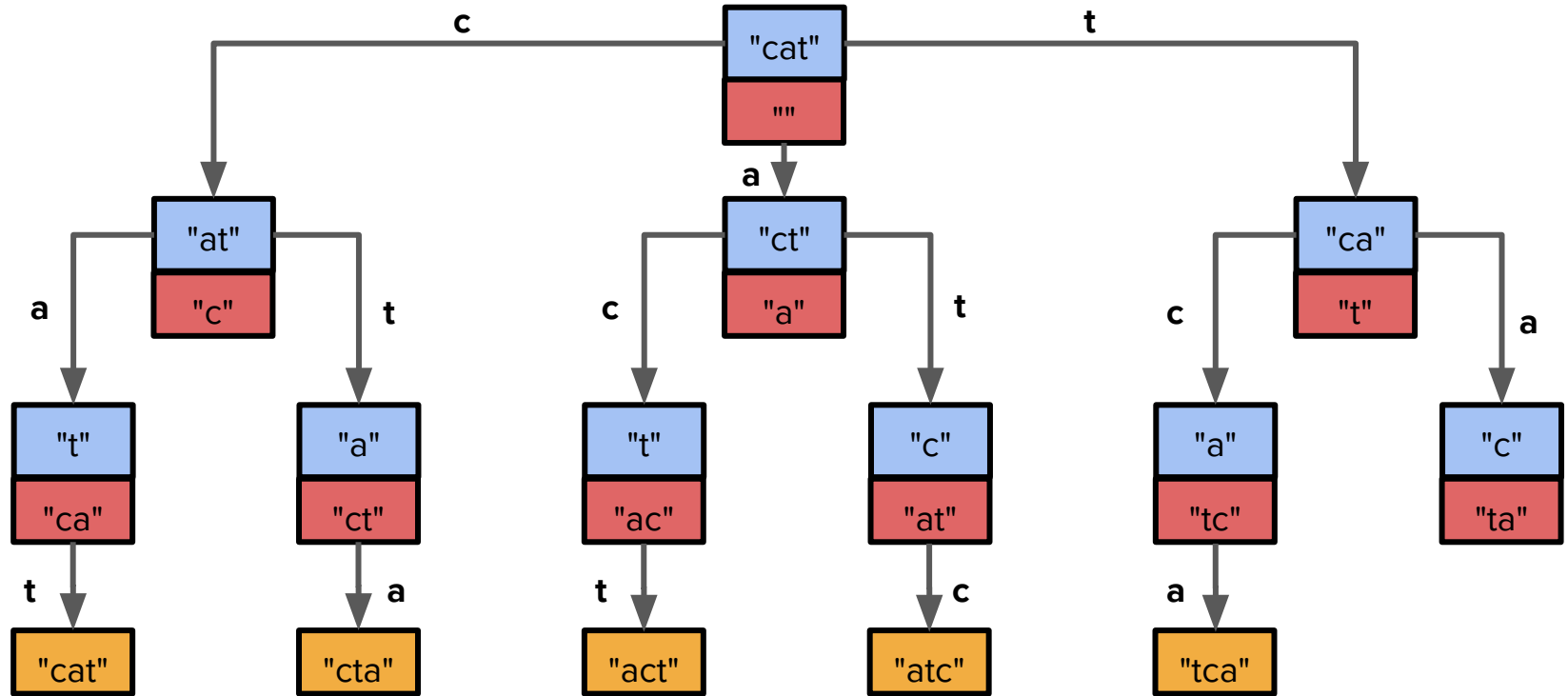
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Decisions yet to be made

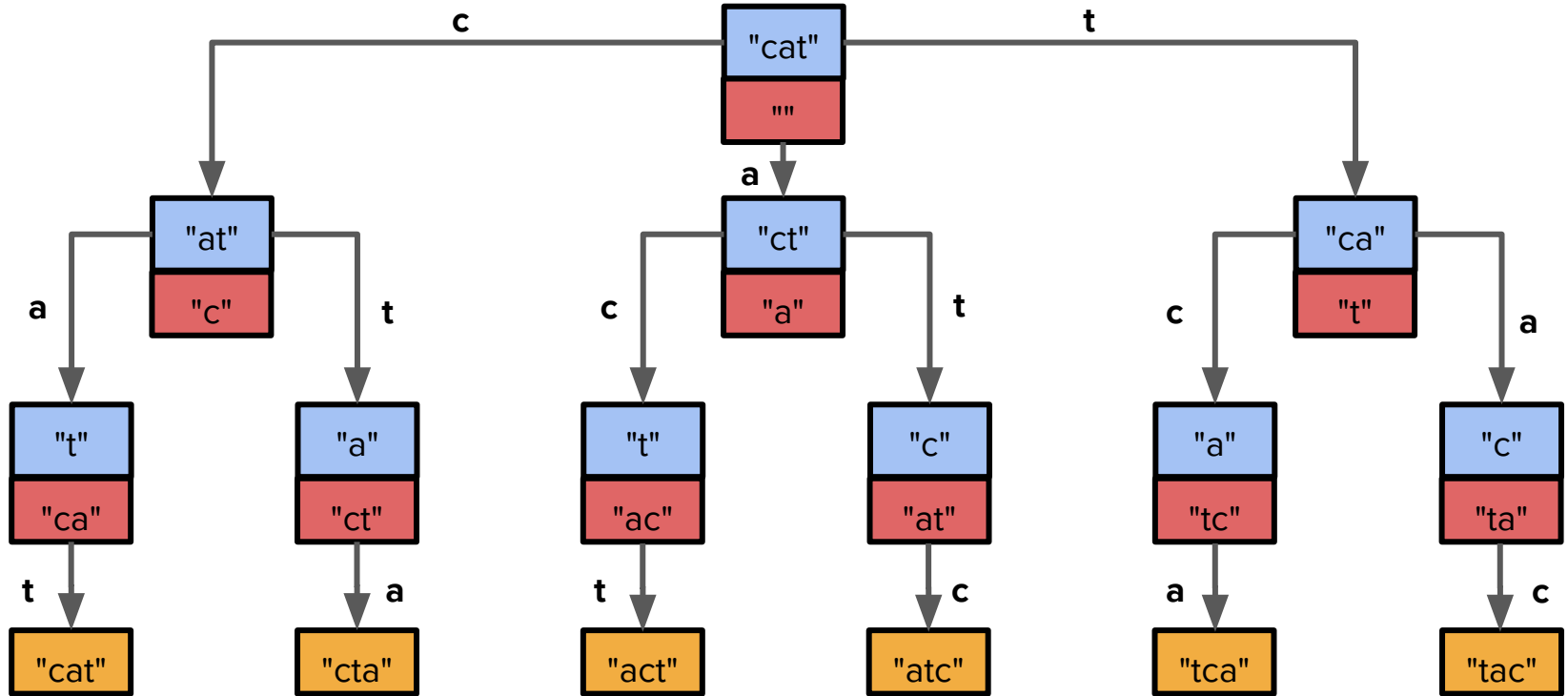
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Decision tree: Find all permutations of "cat"



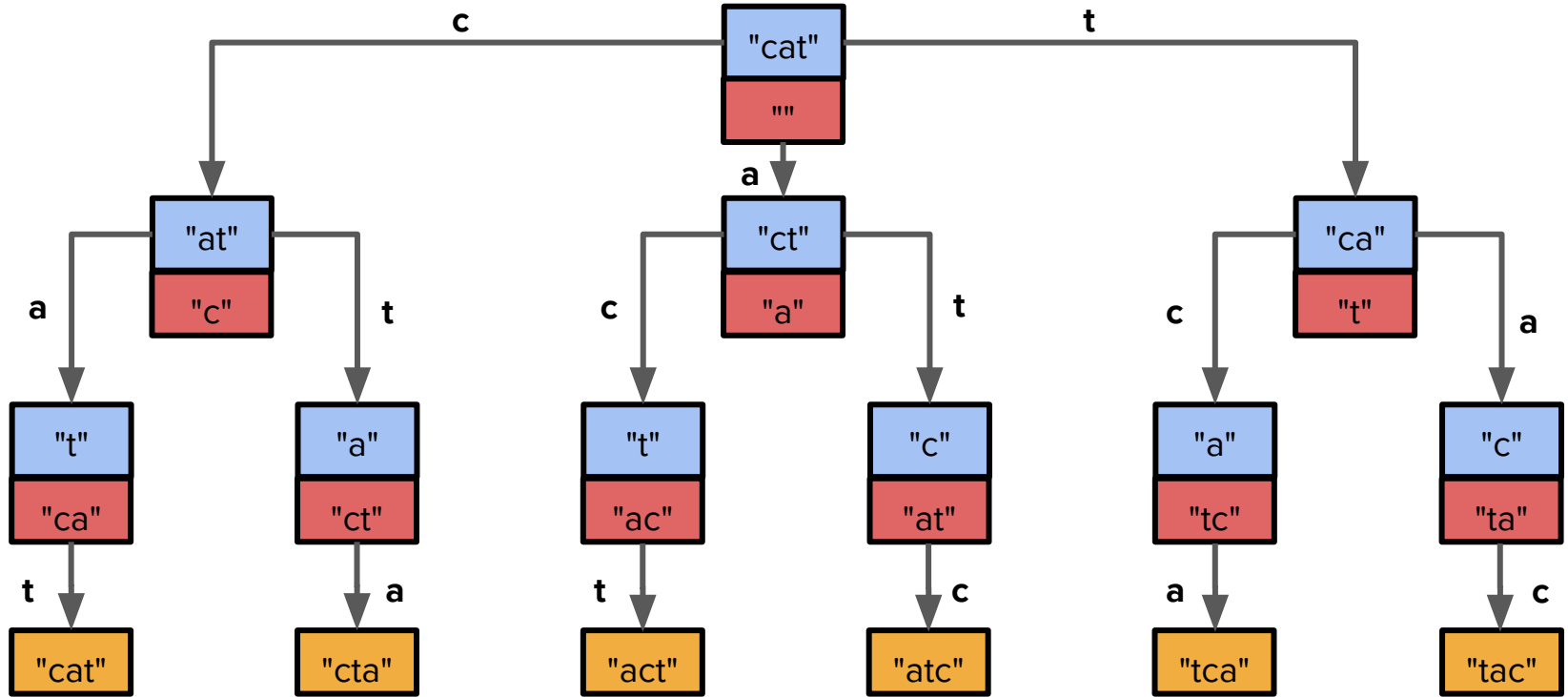
Decisions yet to be made
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Decision tree: Find all permutations of "cat"



Decisions yet to be made
Decisions made so far

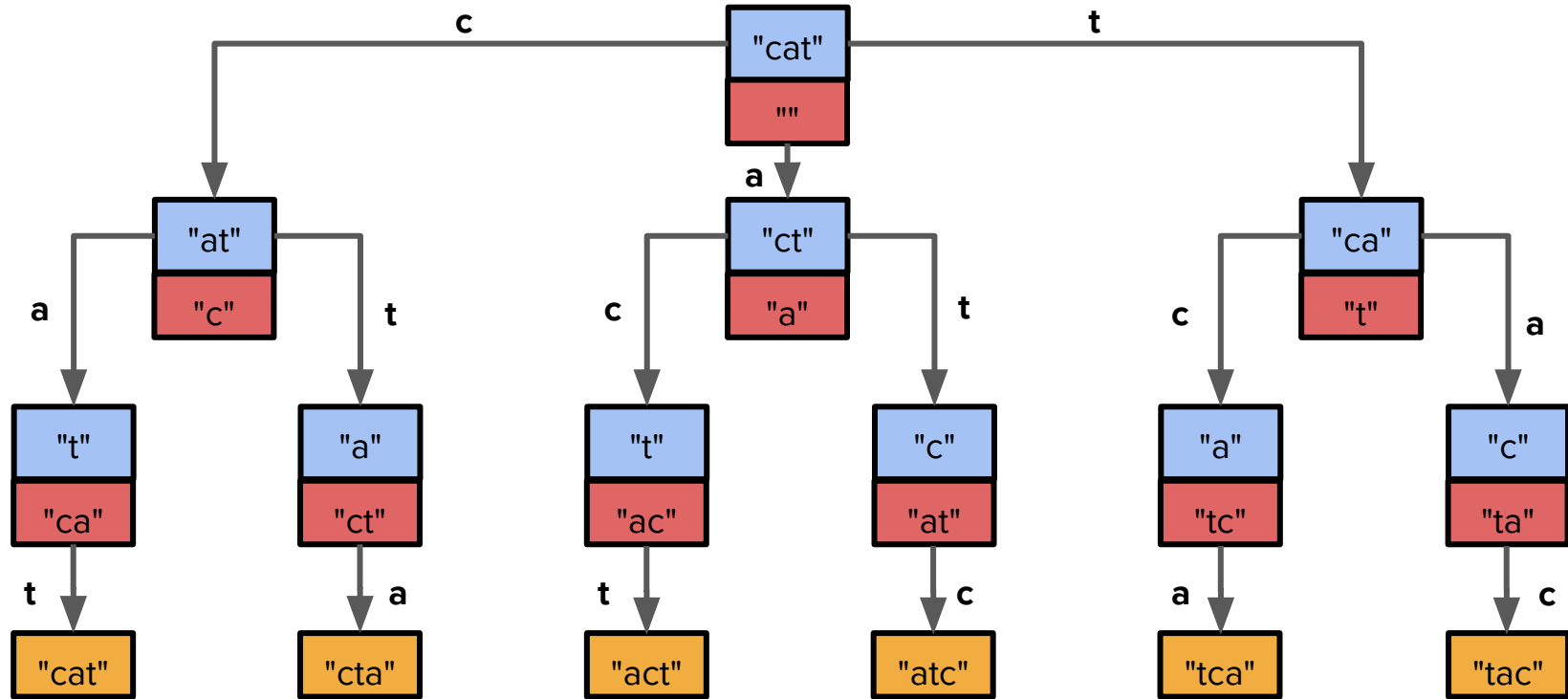
Decision tree: Find all permutations of "cat"



Base case: No letters remaining to choose!

Decisions yet to be made
Decisions made so far

Decision tree: Find all permutations of "cat"



Recursive case: For every letter remaining, add that letter to the current permutation and recurse!

Let's code it!

Permutations Code


```
void listPermutations(string s){
    listPermutationsHelper(s, "");
}

void listPermutationsHelper(string remaining, string soFar) {
    if (remaining.empty()) {
        cout << soFar << endl;
    } else {
        for (int i = 0; i < remaining.length(); i++) {
            char nextLetter = remaining[i];
            string rest = remaining.substr(0, i) + remaining.substr(i+1);
            listPermutationsHelper(rest, soFar + nextLetter);
        }
    }
}
```

Permutations Code

```
void listPermutations(string s){  
    listPermutationsHelper(s, "");  
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```

*Use of recursive helper
function with empty
string as starting point*

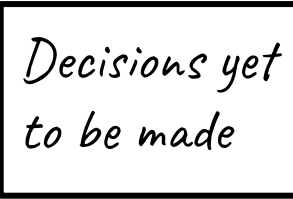


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Permutations Code

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*Decisions yet
to be made*

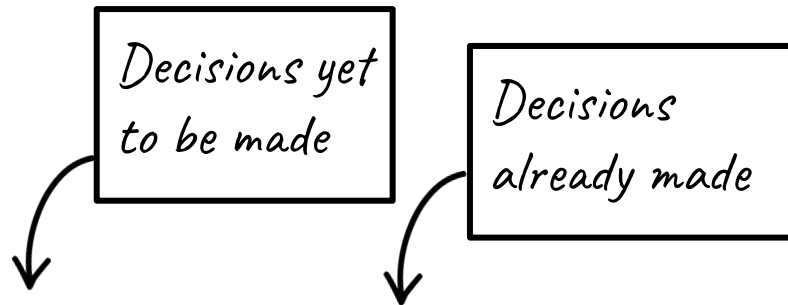


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        }  
    }  
}
```

Decisions yet
to be made

Decisions
already made

Base case: No decisions remain

Permutations Code

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        }  
    }  
}
```

Decisions yet
to be made

Decisions
already made

Base case: No decisions remain

Recursive case: Try all
options for next decision

Takeaways

- The specific model of the general **"choose / explore / unchoose"** pattern in backtracking recursion that we applied here can be thought of as **"copy, edit, recurse"**
 - Since we passed all our parameters by value, each recursive stack frame had its own independent copy of the string data that it could edit as appropriate
 - The "unchoose" step is **implicit** since there is no need to undo anything by virtue of the fact that editing a copy only has local consequences.

Takeaways

- The specific model of the general **"choose / explore / unchoose"** pattern in backtracking recursion that we applied here can be thought of as **"copy, edit, recurse"**
- At each step of the recursive backtracking process, it is important to keep track of **the decisions we've made so far** and **the decisions we have left to make**

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- At each step of the recursive backtracking process, it is important to keep track of **the decisions we've made so far** and **the decisions we have left to make**
- Backtracking recursion can have **variable branching factors** at each level
- Use of helper functions and initial empty params that get built up is common

Using backtracking recursion

- There are 3 main categories of problems that we can solve by using backtracking recursion:
 - **We can generate all possible solutions to a problem or count the total number of possible solutions to a problem**
 - We can find one specific solution to a problem or prove that one exists
 - We can find the best possible solution to a given problem
- There are many, many examples of specific problems that we can solve, including
 - Generating permutations
 - Generating subsets
 - Generating combinations
 - And many, many more

Announcements

Announcements

- Assignment 3 was just released and will be due **next Tuesday, July 19 at 11:59pm PDT** with a 24-hour grace period.
 - YEAH session is TODAY (Tuesday) at 5pm Pacific Time in Hewlett 101.
- Assignment 2 grades will be released this weekend.
 - Revisions will be due Friday, July 22.
- Congrats on finishing the midterm! Not everyone has finished it yet. **If you've finished the exam, please refrain from discussing any questions, even among peers who are also done, until the solutions are released early next week.**
 - Remember, you will have the option to reflect on your work and get $\frac{1}{3}$ of the points you lost if you sign up for a midterm check in session with your SL next week.
- No more exams! Final project information comes out today. :)

Shrinkable Words



“What nine-letter word can be reduced to a single-letter word one letter at a time by removing letters, leaving it a legal word at each step?”



startling → starling → staring → string → sting → sing → sin → in → i

Is there really just one nine-letter word with
this property?

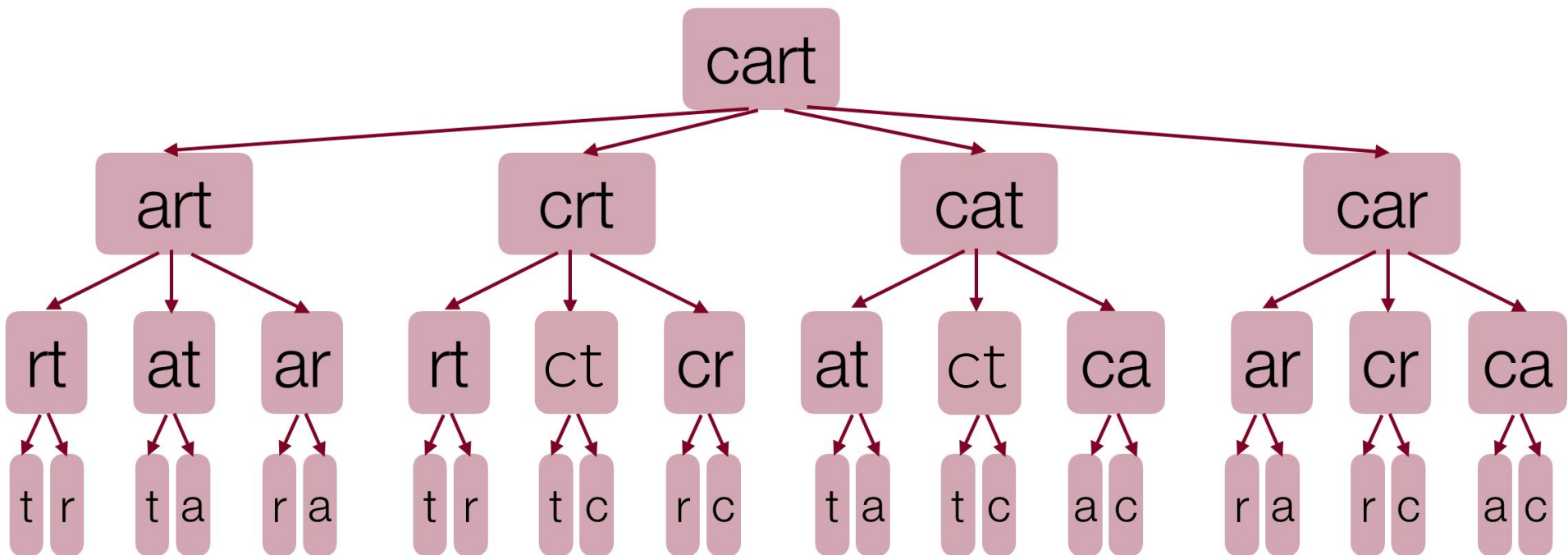
How can we determine if a word is shrinkable?

- A **shrinkable word** is a word that can be reduced down to one letter by removing one character at a time, leaving a word at each step.
- Idea: Let's use a decision tree to remove letters and determine **shrinkability!**

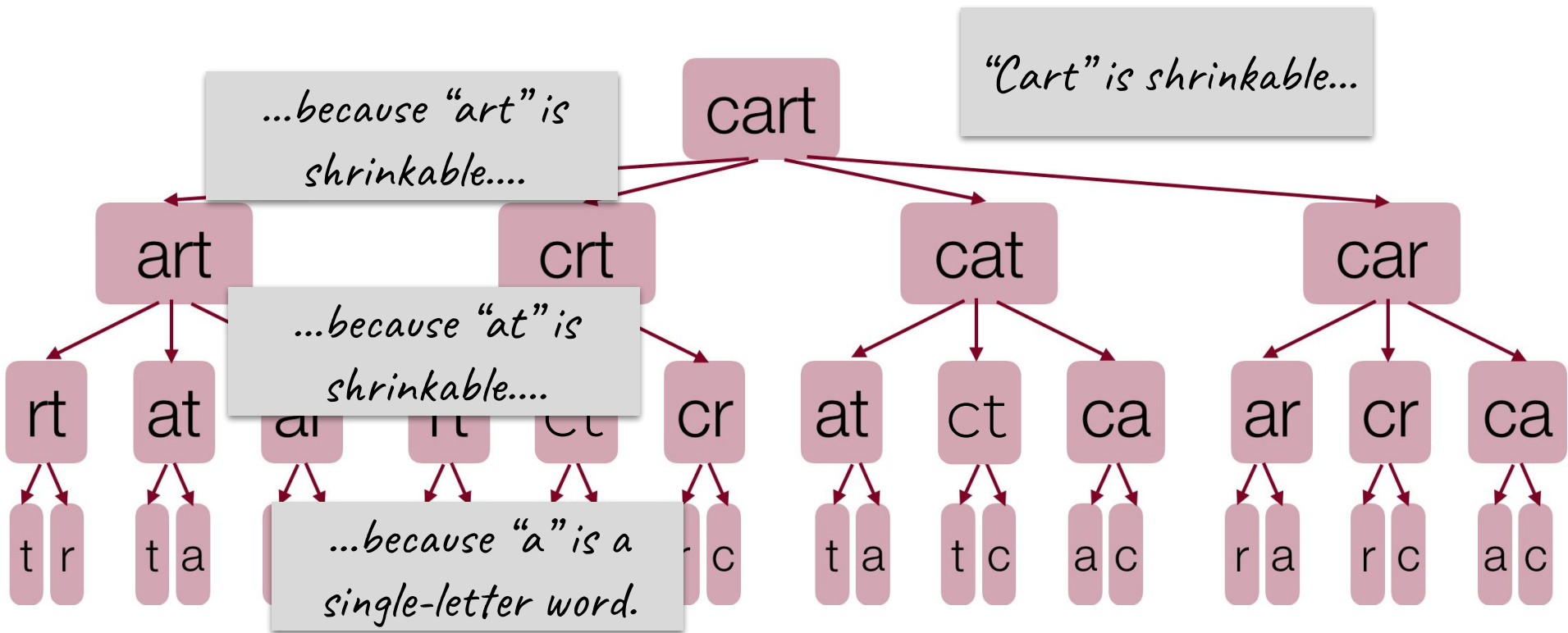
What defines our shrinkable decision tree?

- **Choose decision** at each step (each level of the tree):
 - What letter are going to remove?
- **Explore options** at each decision (branches from each node):
 - The remaining letters in the string
- Information we need to store along the way:
 - The shrinking string

What defines our shrinkable decision tree?

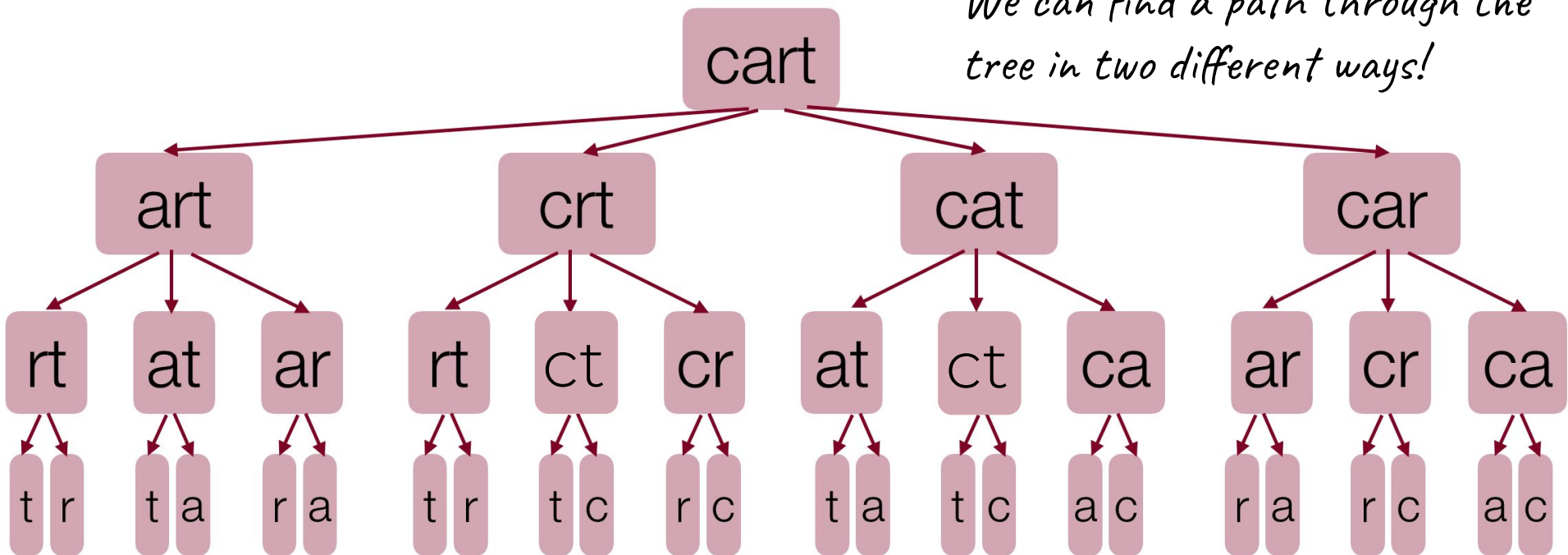


What defines our shrinkable decision tree?



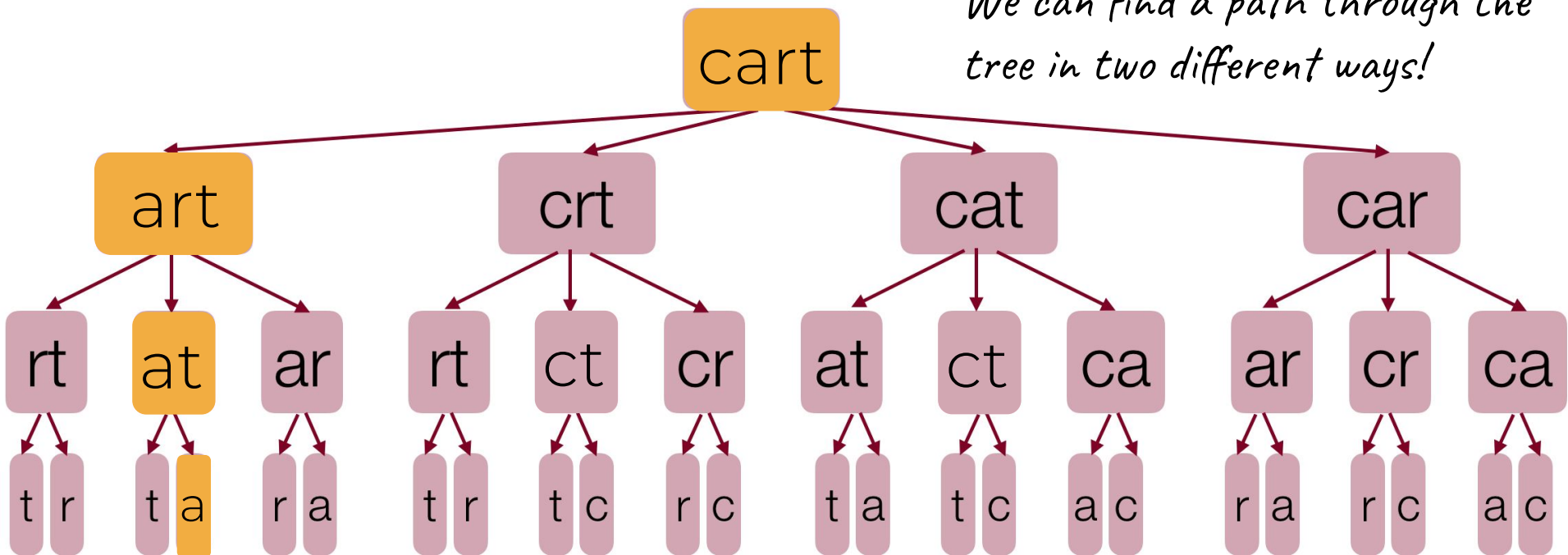
What defines our shrinkable decision tree?

We can find a path through the tree in two different ways!



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We can find a path through the tree in two different ways!



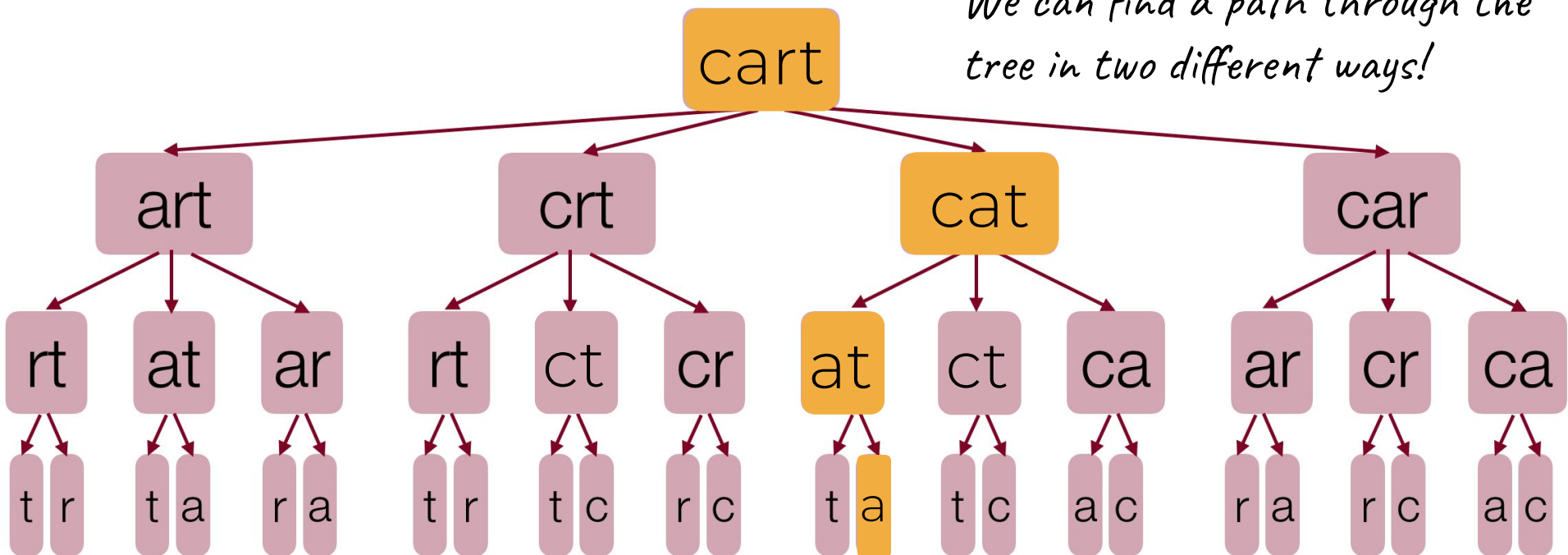
Attendance ticket:

<https://tinyurl.com/shrinkableword>

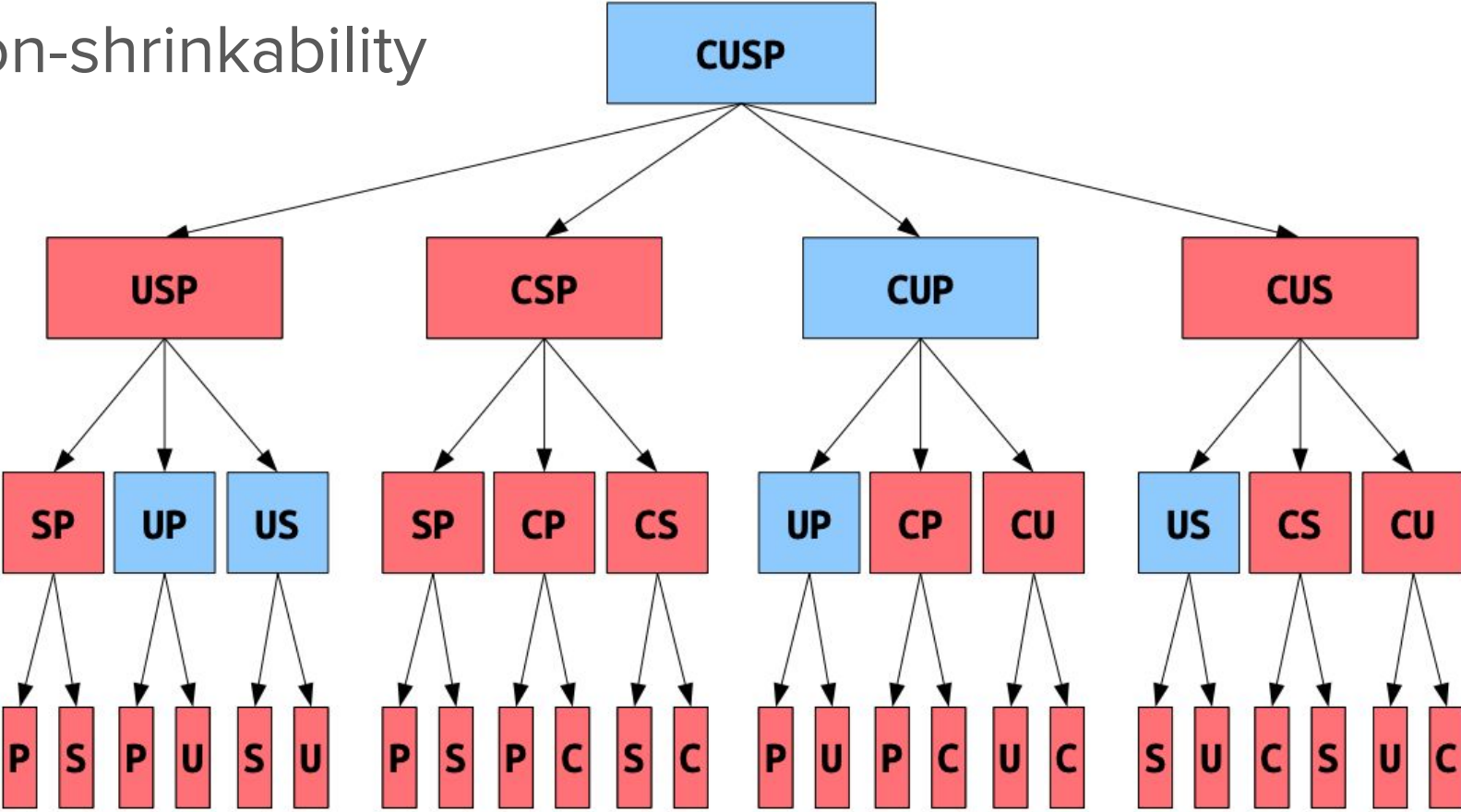
Please don't send this link to students who are not here. It's on your honor!

What defines our shrinkable decision tree?

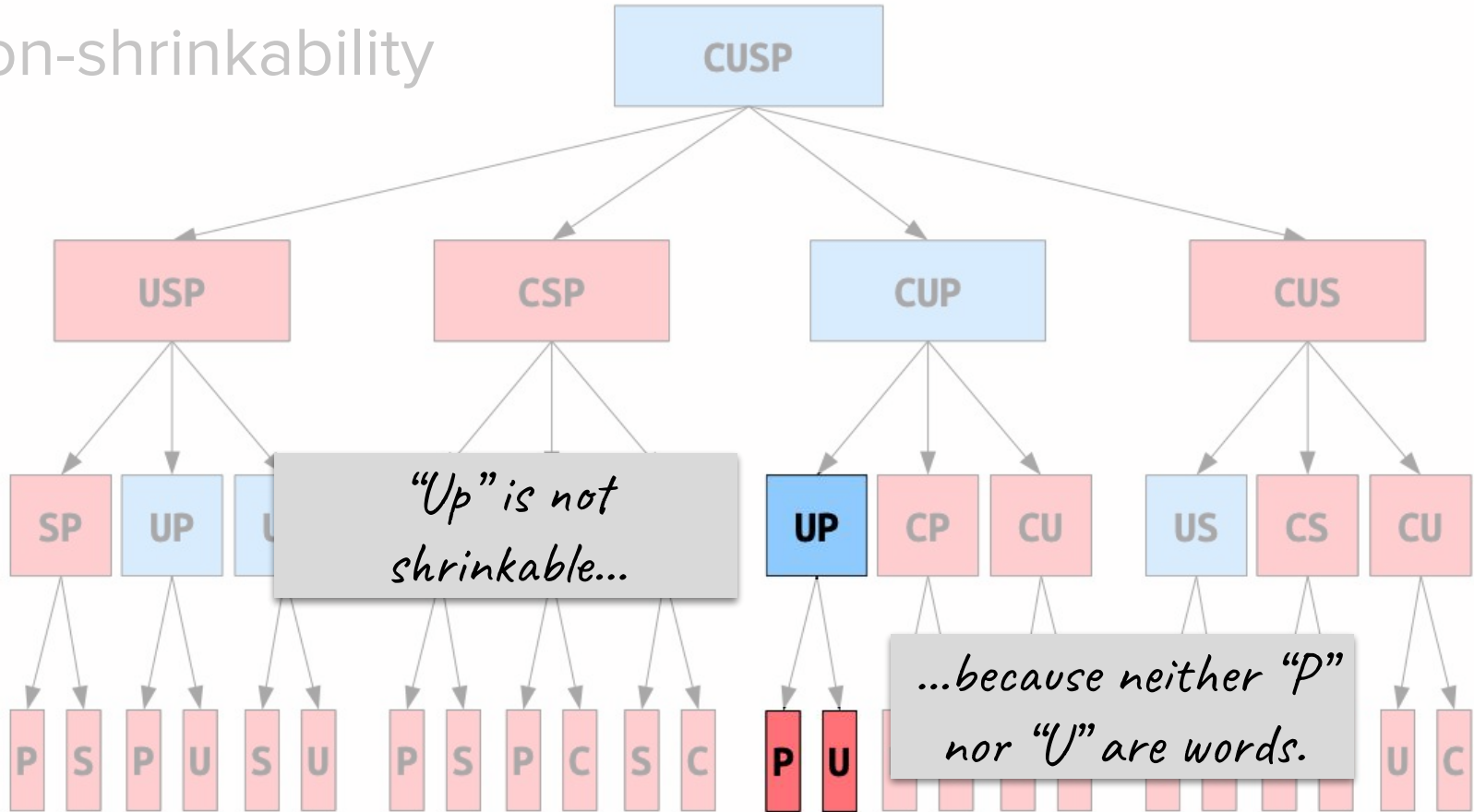
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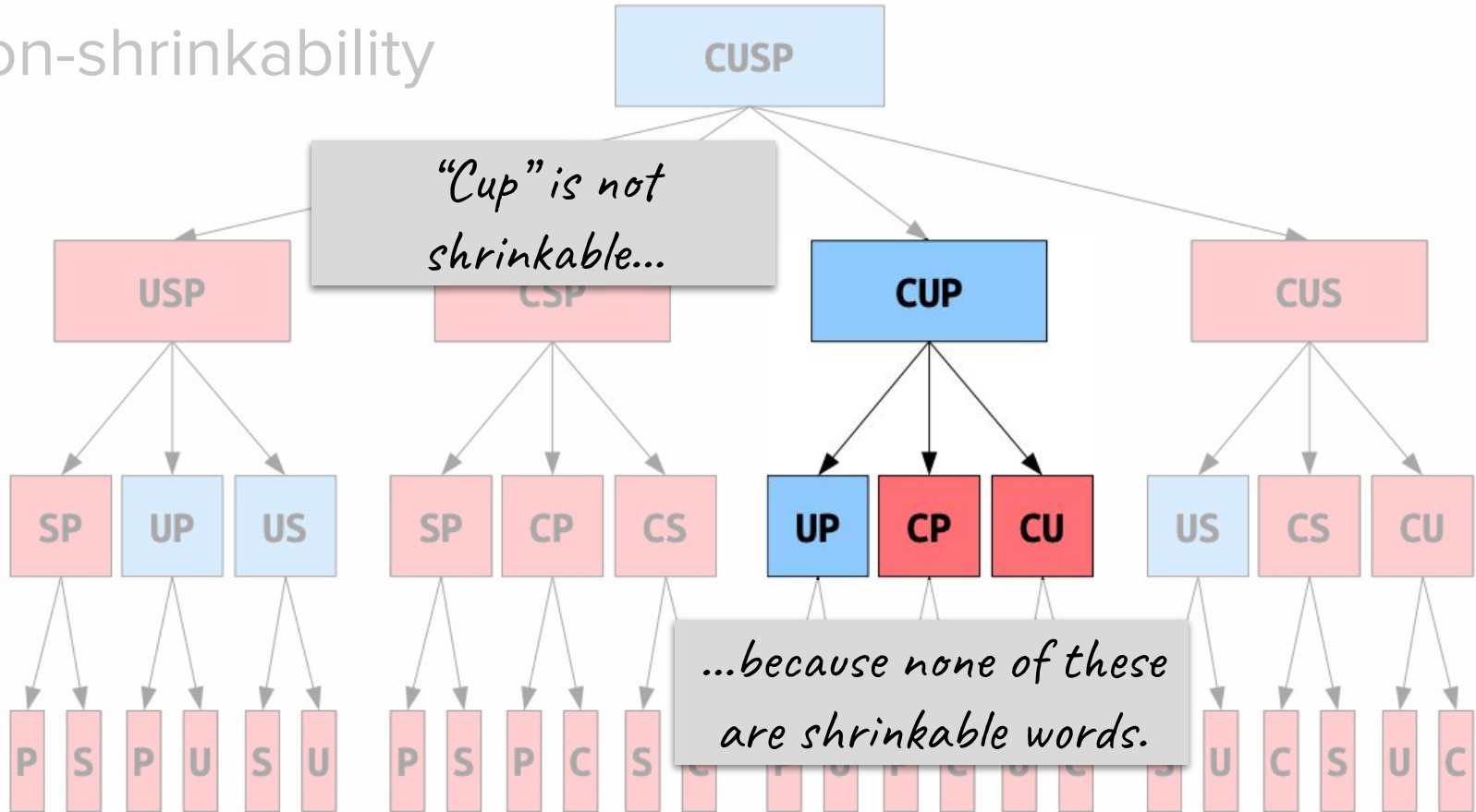
Non-shrinkability



Non-shrinkability



Non-shrinkability



Non

"Cusp" is not shrinkable...

CUSP

USP

CSP

CUP

CUS

...because none of these are shrinkable words.

SP

UP

US

SP

CP

CS

UP

CP

CU

US

CS

CU

P

S

P

U

S

U

P

S

P

C

S

C

P

U

P

C

U

C

S

U

C

S

U

C

How can we determine if a word is shrinkable?

- **Base cases:**
 - A string that is not a valid English word is not a shrinkable word.
 - Any single-letter English word is shrinkable (A, I, and O).
- **Recursive cases:**
 - A multi-letter word is shrinkable if you can remove a letter to form a shrinkable word.
 - A multi-letter word is not shrinkable if no matter what letter you remove, it's not shrinkable.

Lexicon

- Lexicon is a helpful ADT provided by the Stanford C++ libraries (in `lexicon.h`) that is used specifically for storing many words that make up a dictionary
- Generally, Lexicons offer faster lookup than normal Sets, which is why we choose to use them when dealing with words and large dictionaries
- ```
Lexicon lex("res/EnglishWords.txt"); // create from file
lex.contains("koala"); // returns true
lex.contains("zzzzz"); // returns false
lex.containsPrefix("fi"); // returns true if there are
any words starting with "fi" in the dictionary
```

Let's code it!

# Takeaways

- This is another example of **copy-edit-recurse** to choose, explore, and then implicitly unchoose!
- In this problem, we're using backtracking to **find if a solution exists**.
  - Notice the way the recursive case is structured:

*for all options at each decision point:  
if recursive call returns true:  
return true;  
return false if all options are exhausted;*

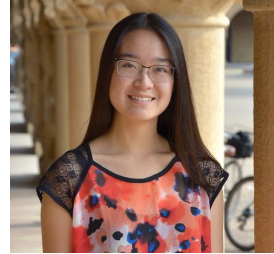
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- There are many, many examples of specific problems that we can solve, including
  - Generating permutations
  - Generating subsets
  - Generating combinations
  - And many, many more

# Subsets

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:





# Subsets of teaching team to grade the midterm

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# Subsets

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# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



*Even though we may not care about this “team,” the empty set is a subset of our original set!*

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



{ }

*As humans, it might be easiest to think about all teams (subsets) of a particular size.*

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



{  
{"Jenny"}  
{"Kylie"}  
{"Trip"}

*As humans, it might be  
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Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



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{"Jenny", "Kylie"}  
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Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



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`{"Jenny", "Kylie"}`  
`{"Jenny", "Trip"}`  
`{"Kylie", "Trip"}`  
`{"Jenny", "Kylie", "Trip"}`

*As humans, it might be easiest to think about all teams (subsets) of a particular size.*

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



```
{
{"Jenny"}
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{"Trip"}
{"Jenny", "Kylie"}
{"Jenny", "Trip"}
{"Kylie", "Trip"}
{"Jenny", "Kylie", "Trip"}
```

*Another case of  
“generate/count all  
solutions” using recursive  
backtracking!*



# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



```
{ }
{"Jenny"}
{"Kylie"}
{"Trip"}
{"Jenny", "Kylie"}
{"Jenny", "Trip"}
{"Kylie", "Trip"}
{"Jenny", "Kylie", "Trip"}
```

*For computers generating subsets (and thinking about decisions), there's another pattern we might notice...*

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



{}

{"Jenny"}

{"Kylie"}

{"Trip"}

{"Jenny", "Kylie"}

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{"Jenny", "Kylie", "Trip"}

*Half the subsets contain  
"Jenny"*

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*Half the subsets contain  
"Kylie"*

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*Half the subsets contain  
"Trip"*

# Subsets

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$\{\}$   
 $\{\text{"Jenny"}\}$   
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 $\{\text{"Trip"}\}$   
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 $\{\text{"Jenny"}, \text{"Trip"}\}$   
 $\{\text{"Kylie"}, \text{"Trip"}\}$   
 $\{\text{"Jenny"}, \text{"Kylie"}, \text{"Trip"}\}$

*Half the subsets that contain "Trip" also contain "Jenny"*

# Subsets

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*Half the subsets that contain both "Trip" and "Jenny" contain "Kylie"*

# Subsets

Given a group of people, suppose we wanted to generate all possible teams, or subsets, of those people:



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{ }
{ "Jenny" }
{ "Kylie" }
{ "Trip" }
{ "Jenny", "Kylie" }
{ "Jenny", "Trip" }
{ "Kylie", "Trip" }
{ "Jenny", "Kylie", "Trip" }
```



# What defines our subsets decision tree?

- **Choose decision** at each step (each level of the tree):
  - Are we going to include a given element in our subset?



# What defines our subsets decision tree?

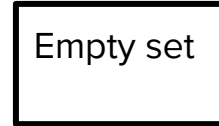
- **Choose decision** at each step (each level of the tree):
  - Are we going to include a given element in our subset?
- **Explore options** at each decision (branches from each node):
  - Include element
  - Don't include element

# What defines our subsets decision tree?

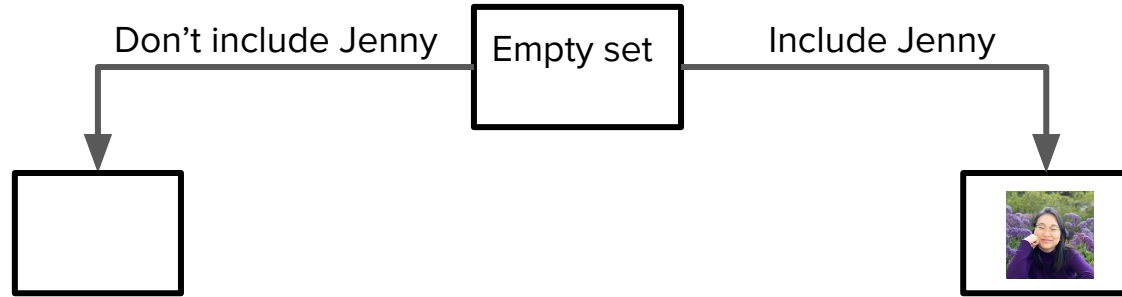
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  - Don't include element
- Information we need to store along the way:
  - The set you've built so far
  - The remaining elements in the original set

# Decision tree

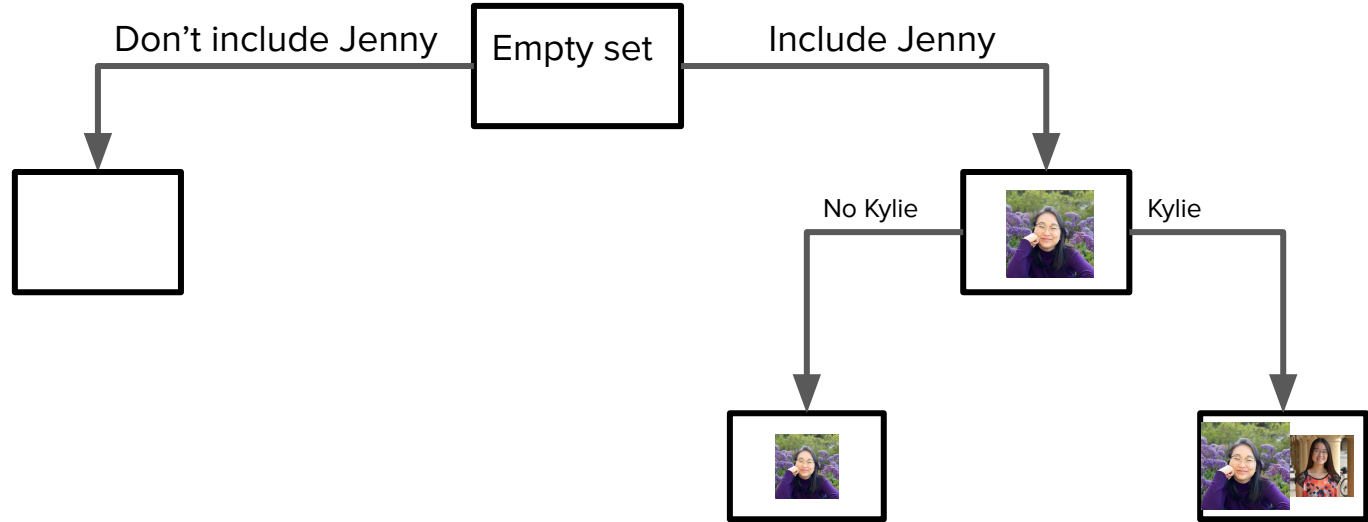
Empty set



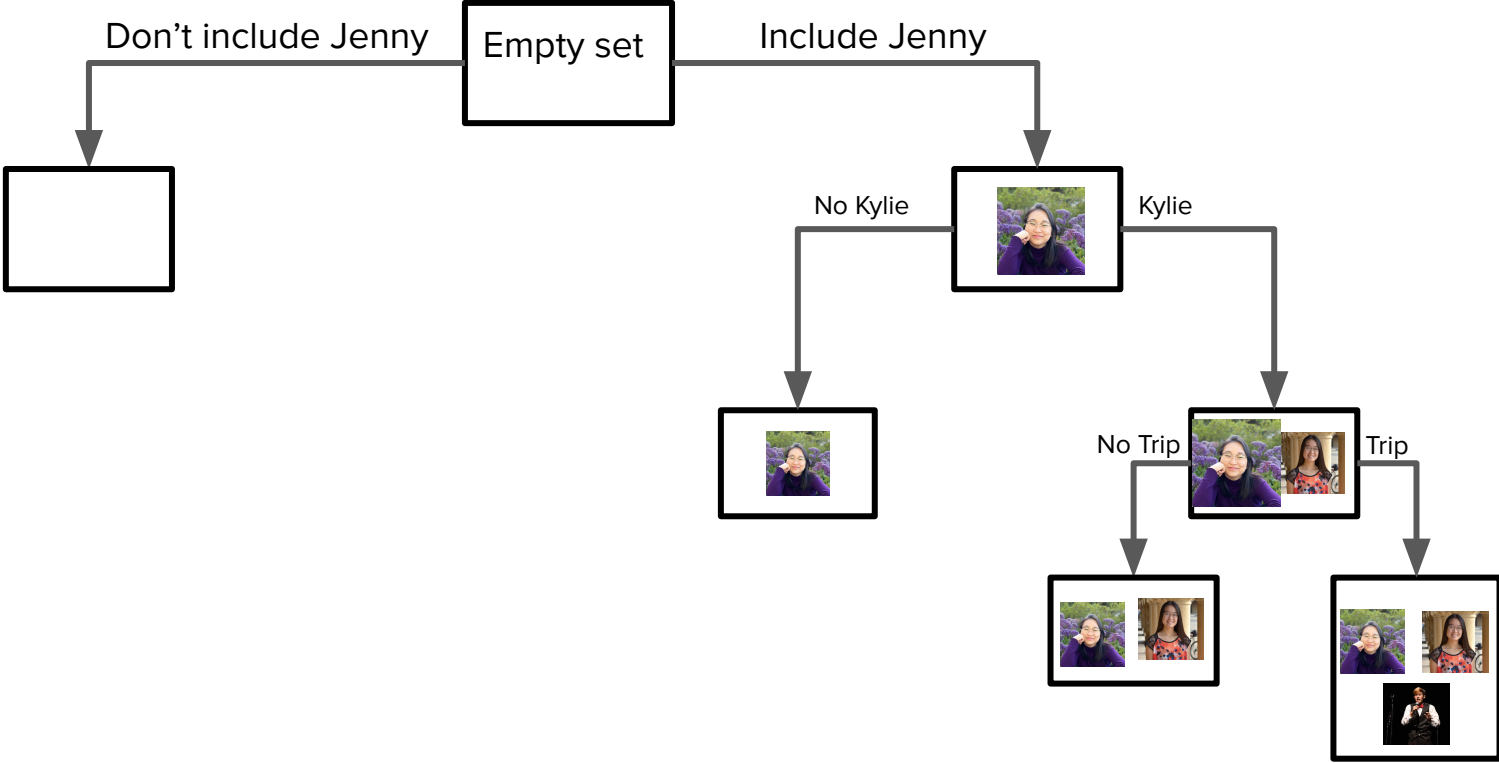
# Decision tree



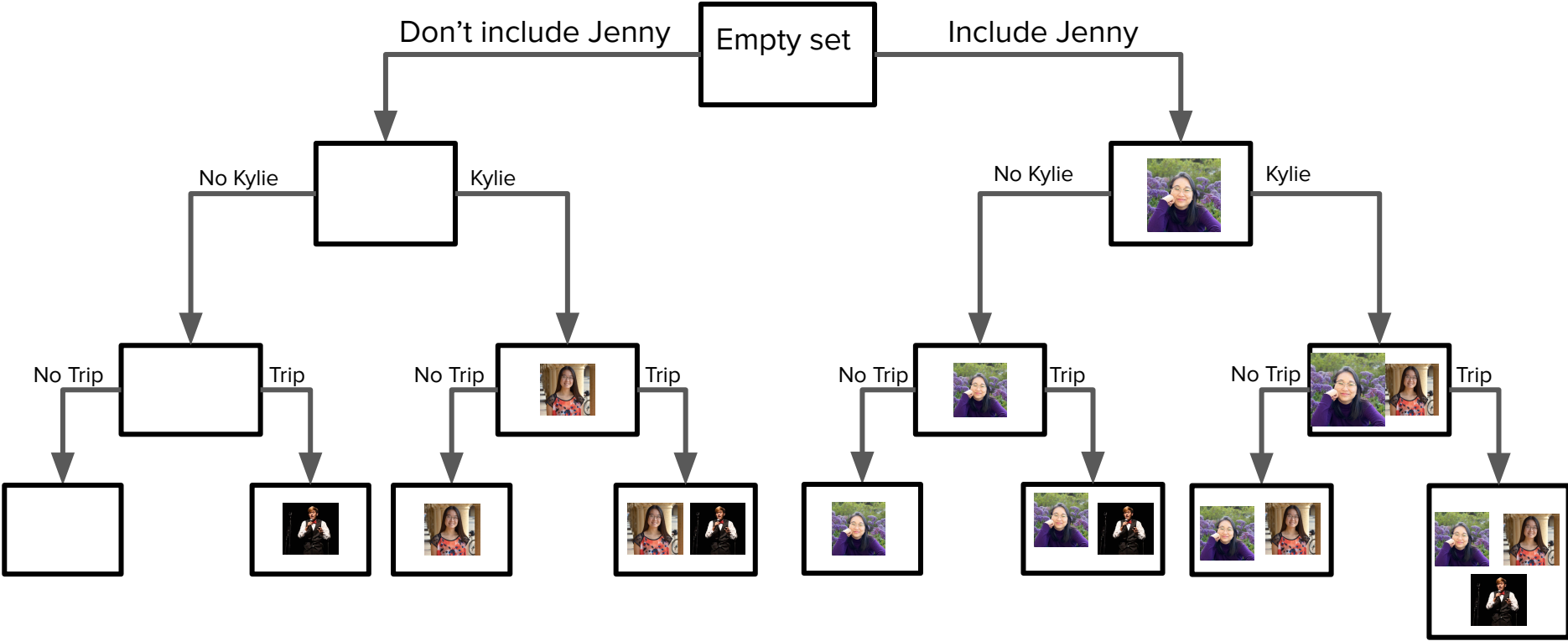
# Decision tree



# Decision tree



# Decision tree

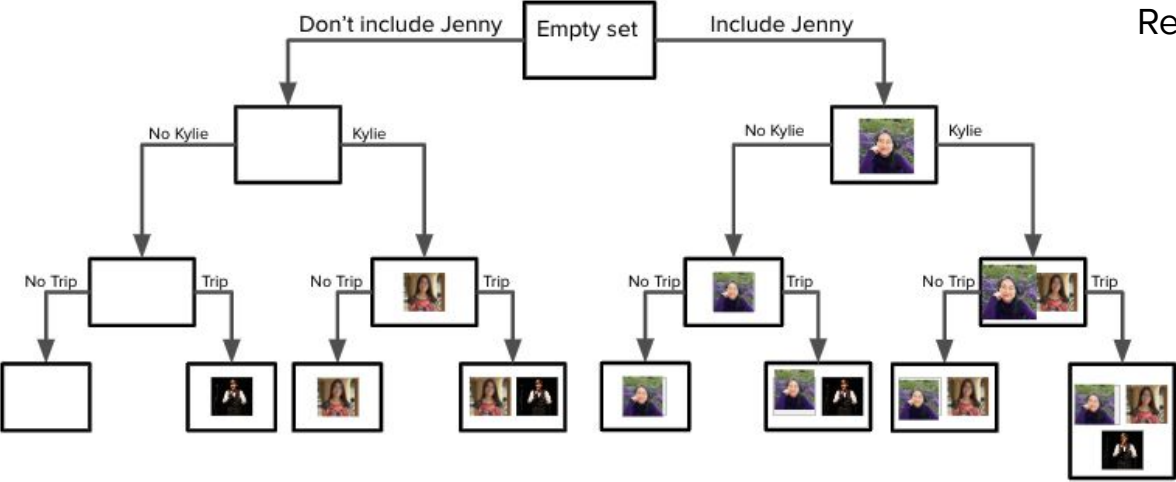


# What defines our subsets decision tree?

- **Decision** at each step (each level of the tree):
  - Are we going to include a given element in our subset?
- **Options** at each decision (branches from each node):
  - Include element
  - Don't include element
- Information we need to store along the way:
  - The set you've built so far
  - **The remaining elements in the original set**



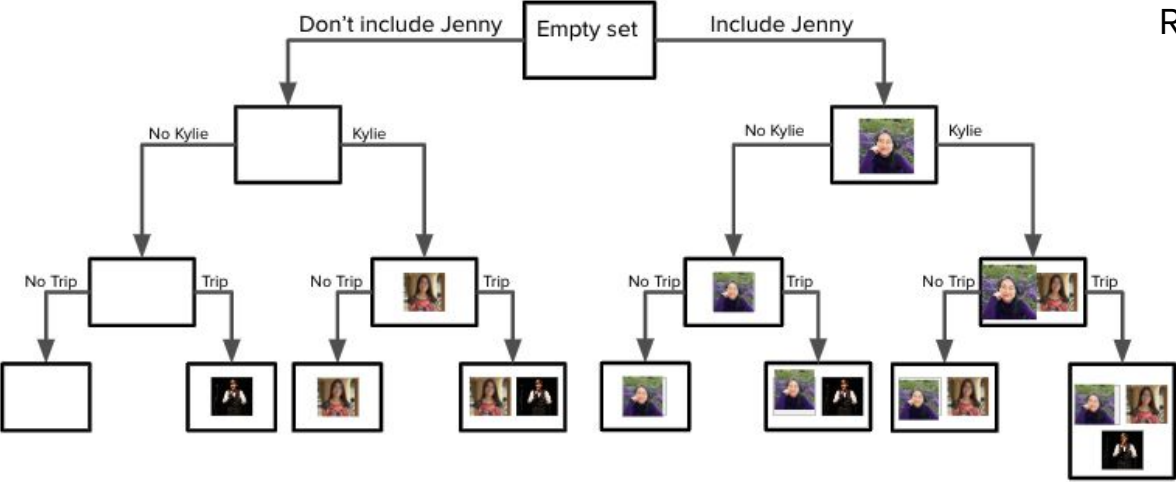
# Decision tree



Remaining: {"Jenny", "Kylie", "Trip"}

Remaining: {"Kylie", "Trip"}

# Decision tree

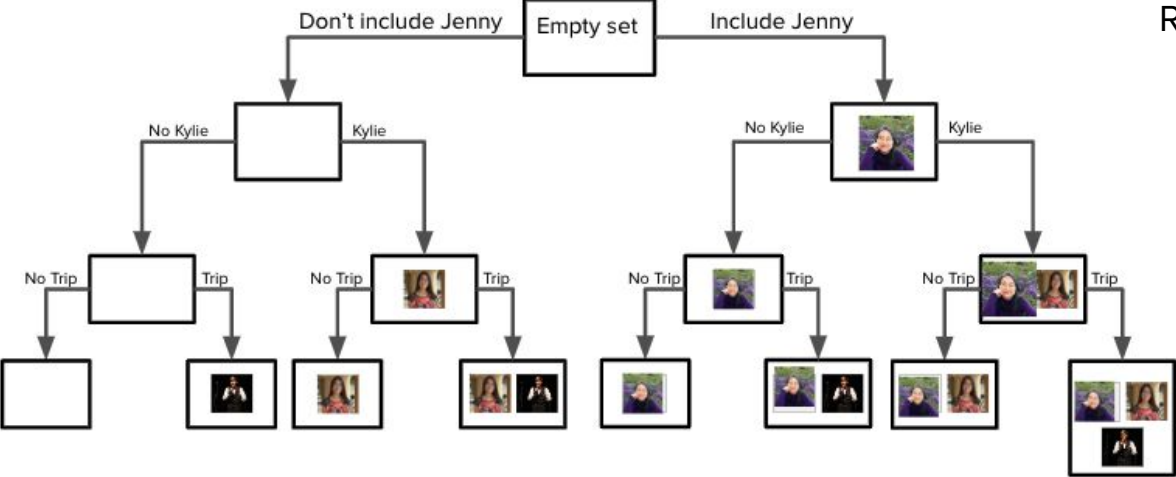


Remaining: {"Nick", "Kylie", "Trip"}

Remaining: {"Kylie", "Trip"}

Remaining: {"Trip"}

# Decision tree



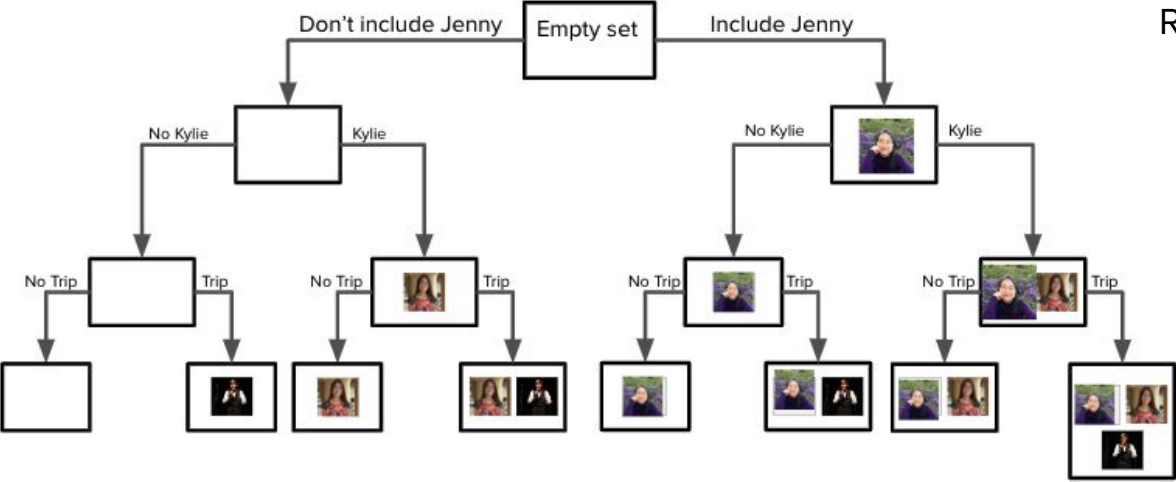
Remaining: {"Nick", "Kylie", "Trip"}

Remaining: {"Kylie", "Trip"}

Remaining: {"Trip"}

Remaining: {}

# Decision tree



Remaining: {"Nick", "Kylie", "Trip"}

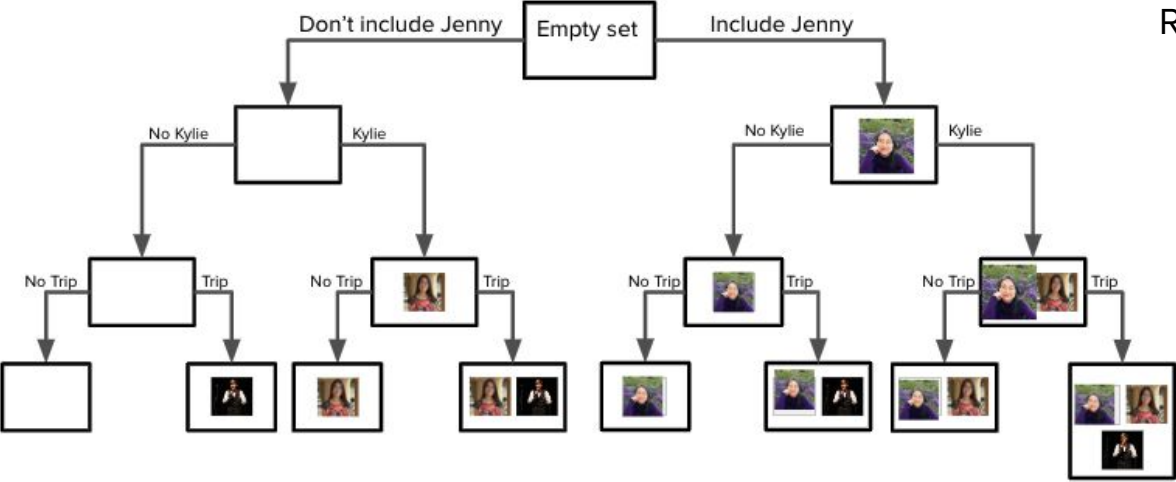
Remaining: {"Kylie", "Trip"}

Remaining: {"Trip"}

Remaining: {}

**Base case:** No people remaining to choose from!

# Decision tree



Remaining: {"Nick", "Kylie", "Trip"}

Remaining: {"Kylie", "Trip"}

Remaining: {"Trip"}

Remaining: {}

**Recursive case:** Pick someone in the set. Choose to include or not include them.

Let's code it!

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!

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```
string elem = remaining.first();
// remove this element from possible choices
remaining = remaining - elem;
listSubsetsHelper(remaining, chosen); // do not add elem to chosen
chosen = chosen + elem;
listSubsetsHelper(remaining, chosen); // add elem to chosen
chosen = chosen - elem;
// add this element back to possible choices
remaining = remaining + elem;
```



# Takeaways

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*Choose*

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Explore  
(part 1)

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!

Explore  
(part 2)

```
string elem = remaining.first();
// remove this element from possible choices
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listSubsetsHelper(remaining, chosen); // do not add elem to chosen
chosen = chosen + elem;
listSubsetsHelper(remaining, chosen); // add elem to chosen
chosen = chosen - elem;
// add this element back to possible choices
remaining = remaining + elem;
```

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!

*Explicit  
Unchoose  
(i.e. undo)*

```
string elem = remaining.first();
// remove this element from possible choices
remaining = remaining - elem;
listSubsetsHelper(remaining, chosen); // do not add elem to chosen
chosen = chosen + elem;
listSubsetsHelper(remaining, chosen); // add elem to chosen
chosen = chosen - elem;
// add this element back to possible choices
remaining = remaining + elem;
```

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!

*Without this step, we could not explore the other side of the tree*

```
string elem = remaining.first();
// remove this element from possible choices
remaining = remaining - elem;
listSubsetsHelper(remaining, chosen); // do not add elem to chosen
chosen = chosen + elem;
listSubsetsHelper(remaining, chosen); // add elem to chosen
chosen = chosen - elem;
// add this element back to possible choices
remaining = remaining + elem;
```

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!
- It’s important to consider not only decisions and options at each decision, but also to keep in mind what information you have to keep track of with each recursive call. This might help you define your base case.

# Takeaways

- This is our first time seeing an explicit “unchoose” step
  - This is necessary because we’re passing sets by reference and editing them!
- It’s important to consider not only decisions and options at each decision, but also to keep in mind what information you have to keep track of with each recursive call. This might help you define your base case.
- The subset problem contains themes we’ve seen in backtracking recursion:
  - Building up solutions as we go down the decision tree
  - Using a helper function to abstract away implementation details

# Using backtracking recursion

- There are 3 main categories of problems that we can solve by using backtracking recursion:
  - **We can generate all possible solutions to a problem or count the total number of possible solutions to a problem**
  - We can find one specific solution to a problem or prove that one exists
  - We can find the best possible solution to a given problem
- There are many, many examples of specific problems that we can solve, including
  - Generating permutations
  - Generating subsets
  - Generating combinations
  - And many, many more



# Summary

# Backtracking recursion: **Exploring many possible solutions**

Overall paradigm: choose/explore/unchoose

## Two ways of doing it

- **Choose explore undo**
  - Uses pass by reference; usually with large data structures
  - Explicit unchoose step by "undoing" prior modifications to structure
  - E.g. Generating subsets (one set passed around by reference to track subsets)
- **Copy edit explore**
  - Pass by value; usually when memory constraints aren't an issue
  - Implicit unchoose step by virtue of making edits to copy
  - E.g. Building up a string over time

## Three use cases for backtracking

1. Generate/count all solutions (enumeration)
2. Find one solution (or prove existence)
3. Pick one best solution

General examples of things you can do:

- Permutations
- Subsets
- Combinations
- etc.

# More Recursive Backtracking

